

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 :

C12Q 1/00, G01N 33/566, 33/543,  
33/551, 33/553, 33/544

A1

(11) International Publication Number:

WO 00/63419

(43) International Publication Date:

26 October 2000 (26.10.00)

(21) International Application Number:

PCT/US00/10181

(22) International Filing Date:

14 April 2000 (14.04.00)

(30) Priority Data:

60/129,664

15 April 1999 (15.04.99)

US

60/170,247

15 December 1999 (15.12.99)

US

(71) Applicant: VIRTUAL ARRAYS, INC. [US/US]; 1033 Tulipan  
Drive, San Jose, CA 95129 (US).(72) Inventors: RAVKIN, Ilya; 945 Colorado Avenue, Palo Alto,  
CA 94303 (US). GOLDBARD, Simon; 1033 Tulipan  
Drive, San Jose, CA 95129 (US). HYUN, William, C.;  
622 Quintara Street, San Francisco, CA 94116 (US).  
ZAROWITZ, Michael, A.; 981 Sunset Drive, San Carlos,  
CA 94070 (US).(74) Agents: HEINKEL, Gregory, L. et al.; Iota Pi Law Group, P.O.  
Box 60850, Palo Alto, CA 94306-0850 (US).(81) Designated States: AE, AG, AL, AM, AT, AU, AZ, BA, BB,  
BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM,  
DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL,  
IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU,  
LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT,  
RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ,  
UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM,  
KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent  
(AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent  
(AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT,  
LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI,  
CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

*With international search report.**Before the expiration of the time limit for amending the  
claims and to be republished in the event of the receipt of  
amendments*(54) Title: COMBINATORIAL CHEMICAL LIBRARY SUPPORTS HAVING INDICIA AT CODING POSITIONS AND METHODS  
OF USE

(57) Abstract

A method for multiplexed detection and quantification of analytes by reacting them with probe molecules attached to specific and identifiable carriers. These carriers can be of different size, shape, color, and composition. Different probe molecules are attached to different types of carriers prior to analysis. After the reaction takes place, the carriers can be automatically analyzed. This invention obviates cumbersome instruments used for the deposition of probe molecules in geometrically defined arrays. In the present invention the analytes are identified by their association with the defined carrier, and not (or not only) by their position. Moreover, the use of carriers provides a more homogeneous and reproducible representation for probe molecules and reaction products than two-dimensional imprinted arrays or DNA chips.

*FOR THE PURPOSES OF INFORMATION ONLY*

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

Description

5

10

15

20

25

30

35

40

45

50

55

## COMBINATORIAL CHEMICAL LIBRARY SUPPORTS HAVING INDICIA AT CODING POSITIONS AND METHODS OF USE

**Field of the Invention**

This invention relates to a method for the multiplexed detection, analysis, and quantification of analytes.

**Background of the Invention**

Multiplexed analysis of analytes is an important tool in biomedical discovery such as drug development, genome analysis, and diagnostics. An exemplary use of multiplexed analysis is the study of the human genome structure and expression. Recent study of the human genome has demanded simultaneous study of many genomic sites instead of serially studying individual sites. Particularly important to multiplexed genomic analysis are tools such as nucleic acid arrays commonly known as DNA chips.

Although the basic principles behind microarrays are sound, the manufacture and analysis is expensive and complex. As a result, while the number of potential applications is great, few laboratories can afford the technology for their diagnostic or research goals. The described invention addresses this discrepancy allowing for multiplexed analysis that will not have the cost prohibitions of current microarray products.

Arrays are also used in drug discovery, for example, by identifying gene expression of human cells and their response to drugs, hormones, inhibitors, enzymes, and other molecules. Although the basic principles behind arrays are sound, previously described methods are difficult and costly to manufacture and analysis is often expensive and complex. Signature patterns of expression may indicate new drug targets, permit rapid screening for drugs of desired effect, and potentially reduce the time from bench to bedside. One of the most important applications of microarrays will probably be in the field of pharmacogenetics. Pharmacogenetics is the study of how an individual's genetics can affect the probability of different treatment outcomes and how the response to a medication can differ based on an individual's genetically determined metabolic constitution. These differences arise from polymorphisms (minor differences in gene sequences) in the genes responsible for the actual drug target

or in genes that direct metabolic enzymes that activate, deactivate, or alter the drug in the body. Microarrays will be used during the drug discovery process, the screening of participants in clinical drug trials, and very likely as part of the standard clinical work up of patients.

Multiplexed analysis of analyte samples may be achieved by parallel processing. In particular, reactions where an analyte will selectively react with a sub-population compound from a larger population of different compounds, are ideally suited for parallel analysis. For example, US Patent 5,744,305, herein incorporated in its entirety by reference, describes the use of a collection of compounds arrayed on a planar surface, where particular compounds are synthesized at particular regions on the planar surface. The array is then contacted with an analyte such that certain compounds in the analyte will specifically bind an array compound

Existing array methods require arraying compounds by situating such compounds onto surfaces, for example, a glass slide, in predefined different locations either by spotting preformed compounds, or by synthesizing compounds *in-situ*. Compound identity is maintained solely by its position upon the array surface. Accordingly, the entire array must remain intact for the duration of the analysis. For example, compound identity would be lost if the array were sectioned into individual compound sections that were then randomly shuffled. It is impossible, therefore, to recreate the original array without knowledge of the chemical identity of each compound section. A shuffled array may be reconstructed, however, if the chemical identity of each compound section could be ascertained. Direct analysis is unlikely since the amount of compound present within a compound section is often too minute. If a unique and detectable code is associated with each compound section, then the code would correlate to a particular compound, or region within the array, from which the compound section was derived. A coded compound section then comprises a substrate linking a compound and a code. Encoding compounds imparts portability upon the compound not found with unencoded compound arrays.

Arrays can be in the form of two-dimensionally distributed microscopic spots of nucleic acid material deposited on a solid matrix, usually a microscopy slide. The task of depositing thousands of these spots requires automation. One approach to automation is to print arrays by using computer controlled high-speed robotics. Here,

5 pre-formed different DNA probe regions are produced by first amplifying target DNA  
by PCR. Next, minute samples of the now amplified DNA are transferred to glass  
slides using a robotic printer head. Glass slides are pre-coated with a chemical linker  
10 that will retain the probe DNA spots in place despite heat denaturation. Standardization  
5 and reproducibility of array spotting is difficult to achieve-by printing arrays because of  
the source of the molecules and the method for their deposition. For example, DNA  
can be viscous and therefore hard to deliver accurately through the narrow channels of  
15 a typical print head.

When arrays are manufactured with print heads, the print heads must first be  
10 filled with different samples of probe DNA, and then the head is moved for deposition  
on slides. This requires the use of computerized robotics to direct the print head to go  
20 back and forth between the source of DNA, particular coordinates on the solid matrix  
(glass slide), and washing and drying stations. The printing speed allows 20-60 arrays  
each containing 4000 compound locations to be manufactured in 3-4 hours. Scalability  
15 is accomplished by simultaneously printing more arrays. Thus, of course, necessitates  
additional expensive spotting systems, thus raising costs.

An alternative to printed arrays is the use of light-directed synthesis to construct  
high-density DNA probe arrays (or DNA chips). Instead of depositing DNA solutions  
30 on a slide surface, the DNA is formed *in situ* by synthesizing a desired DNA sequence  
20 directly onto a solid support. The solid support typically contains a covalent linker  
molecule with a photolabile-protecting group. By selectively applying light to some  
35 sites and not others, the light exposed sites become activated. Activated sites then react  
with protected nucleotides while the inactivated sites remain unaltered. This cycle can  
be repeated several times using different masks and thus producing a high-density two-  
25 dimensional matrix containing different sequence probes. Complex DNA mixtures are  
then analyzed by correlating active compounds to their fixed position within the two  
40 dimensional array.

DNA applied to array surfaces can be derived from fully or partially sequenced  
DNA clones, EST's (Expressed Sequence Tags), or any cDNA chosen from a library.  
45 A two-color hybridization scheme is typically used to monitor the presence or  
30 amplification of the DNA regions of interest. Two-color analysis provides for  
comparison of two DNA sources. For example, in CGH (Comparative Genomic  
50

Hybridization), one source of DNA is the test DNA and the other is the reference DNA. After these two fluorescently labeled sets of DNA are hybridized to the array, the resulting ratio of fluorescence intensities at a given spot can be quantified. This measurement then yields a ratio of copy number corresponding to the reference and test DNA associated with that particular DNA or probe region of the array.

Both spotted and in-situ arrays must be individually manufactured by expensive and often temperamental equipment. In-situ synthesis requires hours of stepwise reactions to create one individual compound array. Even if multiple arrays are synthesized simultaneously, the process is machine and mask limited. Moreover, each time a new array compound pattern is desired, a new set of masks must be fashioned. This problem magnifies as higher densities of different compounds are placed onto the array surface. Since compound identity information is strictly positional, highly accurate placement of individual compounds is an absolute and non-trivial requirement for fabricating high-density arrays.

The invention described herein overcomes these and other problems with present array technology.

#### **Brief Description of the Drawings**

Figure 1 depicts exemplary coded chip (101) having 16 bits of information encoding 65536 classes.

Figure 2 provides an exemplary method for manufacturing coded chips.

Figure 3 depicts one preferred embodiment of using layered taggants as carriers.

Figure 4 depicts a method for comparative hybridization analysis

Figure 5 depicts the detection of DNA after PCR.

Figure 6 depicts a method for specifically detecting and identifying different microorganisms suspended in a liquid medium.

Figure 7 depicts a method for measuring CD4/CD8 T cell ratios in blood

Figure 8 depicts a method for screening synthetic molecular compound libraries for drug discovery.

Figure 9 depicts a surface with carriers distributed thereon.

Figure 10 depicts several different embodiments of taggants.

Figure 11 depicts a fused glass fiber carrier.

Figure 12 depicts method for using carriers.

Figure 13 depicts an array organizer.

### Summary of the Invention

The invention provides for a chemical-library composition comprising a plurality of coded carriers, each having at least  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be identified by one of up to  $M^N$  different code combinations, and a different known chemical compound carried on each different-coded carrier. The different compounds in the composition may be, for example, oligonucleotides having a known, defined sequence, oligopeptides having a known, defined sequence, small chemical compounds having known defined structural formulae, or targets such as receptors.

Other preferred embodiments have  $N > 2, 3, 4, 5, 6, 7, 8, 9$ , and  $10$ , and  $M > 2, 3, 4, 5, 6, 7, 8, 9$ , and  $10$ .

In another aspect, the invention includes a method of forming a library of determinable chemical compounds. The method comprises first placing into each of a plurality of separate reaction vessels, carriers having a selected one of a plurality of detectable code combination, each defined by one of  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that the carriers in any vessel all have one of up to  $M^N$  different code combinations. The carriers are then reacted with reagents effective to form on the carriers, as solid-supports, a selected one of up to  $M^N$  different known library compounds. The composition is formed by forming a mixture of carriers from different reaction vessels.

In still another aspect, the invention includes a method of detecting one or more target molecules capable of binding specifically to one or more different, known library compounds. The method includes the steps of (i) contacting the target molecule(s) with a chemical-library composition of the type described above, (ii) distributing the carriers for individual-carrier decoding, (iii) detecting carriers having bound target molecule(s) and (iv) decoding the carriers having bound target molecules, to identify the library compound(s) to which the target molecule(s) are bound.

In one general embodiment, each of the carriers is formed of  $N$  separate layers, each layer having one of  $M$  different color indicia. For example, each carrier may be a



5 cylinder of stacked layers, where the cylinder diameters are in the 1 to 200 micron range. In another general embodiment, each carrier has a surface that is partitioned into N surface regions, and each region contains one of at least two different surface indicia. In still another embodiment, each of the carriers has a magnetic or para-magnetic layer  
10 or component that allows for magnetic separation and orientation of the carriers.

5 The invention further provides for a kit containing separated carriers for user compound addition containing individual populations of discrete carriers capable of being loaded with user-defined compounds. Compound containing carriers may then  
15 be mixed with other compound containing carriers to form user-defined libraries of compounds on carriers. Such compound libraries may then be screened according to methods described in this specification.

20 The invention further provides for an organized chemical-library array. The array comprises a plurality of coded carriers, fixedly organized in an array-forming device. Each coded carrier having at least  $N > 1$  specified code positions, and one of  
25  $M > 1$  detectable indicia at each code position, such that each carrier is identifiable by one of up to  $M^N$  different code combinations. And, a different known chemical compound carried on each different-coded carrier, and where the position of each coded-carrier is coordinated with a determined carrier identity and corresponding  
30 compound identity.

20 The invention further provides for a method of detecting one or more target molecules capable of binding specifically to one or more different, known library compounds. The method comprises contacting the target molecule(s) with a chemical-  
35 library composition composed of a plurality of coded carriers, each having  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be identified by one of up to  $M^N$  different code combinations, and  
25 a different known library compound carried on each different-combination carrier, under conditions in which the target molecules can bind specifically to known library compounds. Then distributing the carriers for individual-carrier decoding. And, detecting carriers having bound target molecule(s) and decoding the carriers having  
40 bound target molecules, to identify the library compound(s) to which the target molecule(s) are bound.

5 The invention further provides for a method of multiplexing the detection and quantification of analytes. The method comprises the steps of distributing on a surface a plurality of coded carriers having different compounds attached to different carriers. Then scanning the surface for carriers having a detectable reporter, recording the  
10 positions of the carriers having a detectable reporter, determining the code for each carrier at each recorded position.

The invention also provides an array device. The device comprises a surface, and a plurality of coded carriers having different compounds attached to different carriers, wherein the carriers are randomly distributed upon the surface.

15 These objects and features of the invention will become more fully apparent when the following detailed description of the invention is read in conjunction with the accompanying drawings.

#### Detailed Description of the Invention

25 The invention provides for a chemical-library composition comprising a plurality of coded carriers, each having at least  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be identified by one of up to  $M^N$  different code combinations, and a different known chemical compound carried on each different-coded carrier. The different compounds in the  
30 composition may be, for example, oligonucleotides or peptide nucleic acids having a known identifiable characteristic (usually the nucleotide sequence), oligopeptides having a known identifiable characteristic (usually the amino acid sequence), small chemical compounds having a known identifiable characteristic (usually the structural formula), or targets such as receptors.

35 The term position is defined broadly as including spatial relationships such as linear relation, two-dimensional relation, and three-dimensional relation. Preferred embodiments define position as two-dimensional, or three-dimensional, but not linear relation. Other embodiments of the invention provide for position as being a temporal relation such as in timing between events. A position, therefore, exists relative to  
40 another position. In yet other embodiments, each position included greater than four or five indicia. And in still other embodiments, each position does not contain nucleic

acid indicia. And in yet still other embodiments, indicia are only optically detectable. In other embodiments, position is not meant to include ranking.

In another aspect, the invention includes a method of forming a library of determinable chemical compounds. The method comprises first placing into each of a plurality of separate reaction vessels, carriers having a selected one of a plurality of detectable code combination, each defined by one of  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that the carriers in any vessel all have one of up to  $M^N$  different code combinations. The carriers are then reacted with reagents effective to form on the carriers, as solid-supports, a selected one of up to  $M^N$  different known library compounds. The composition is formed by a mixture of carriers from different reaction vessels.

The carriers placed in each reaction vessel may each be formed, for example, of  $N$  separate layers, each layer having one of  $M$  different color indicia. The reacting may include the steps in a stepwise oligomer synthesis reaction that are effective to form oligomers with known defined sequences on the solid-support carriers.

The invention provides a method of forming a library of determinable chemical compounds. The method comprises the steps of placing into each of a plurality of separate reaction vessels, carriers having a selected one of a plurality of detectable code combinations. Code combinations are defined by one of  $N > 1$  specified code positions, and one of  $M > 1$  detectable indicia at each code position, such that all carriers in any vessel will all have one of up to  $M^N$  different code combinations. Then reacting the carriers in each vessel with reagents effective to form on the carriers acting as solid-supports, a selected one of up to  $M^N$  different known library compounds, and forming a mixture of carriers from different reaction vessels.

The invention further provides for an organized chemical-library array. The array comprises a plurality of coded carriers, fixedly organized in an array-forming device. Each coded carrier having at least  $N > 1$  specified code positions, and one of  $M > 1$  detectable indicia at each code position, such that each carrier is identifiable by one of up to  $M^N$  different code combinations. And, a different known chemical compound carried on each different-coded carrier, and where the position of each coded-carrier is coordinated with a determined carrier identity and corresponding compound identity.

5 The invention yet further provides a method of detecting one or more target molecules capable of binding specifically to one or more different, known library compounds. The method comprises contacting the target molecule(s) with a chemical-  
10 library composition composed of a plurality of coded carriers. Each coded carrier having  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier is identifiable by one of up to  $M^N$  different code combinations. And, a different known library compound carried on each different-  
15 combination carrier, under conditions in which the target molecules can bind specifically to known library compounds. Then distributing the carriers for individual-carrier decoding, and detecting carriers having bound target molecule(s) and decoding  
20 the carriers having bound target molecules, to identify the library compound(s) to which the target molecule(s) are bound.

In still another aspect, the invention includes a method of detecting one or more target molecules capable of binding specifically to one or more different, known library  
25 compounds. The method includes the steps of (i) contacting the target molecule(s) with a chemical-library composition of the type described above, (ii) distributing the carriers for individual-carrier decoding, (iii) detecting carriers having bound target molecule(s) and (iv) decoding the carriers having bound target molecules, to identify the library  
30 compound(s) to which the target molecule(s) are bound.

20 More generally, in use, the method of the invention is designed for detecting one or more target molecules capable of binding specifically to one or more different, known library compounds. In practicing the detection method, the target is contacted  
35 with the library composition of the invention, that is a chemical-library composition composed of (i) a plurality of coded carriers, each having  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be  
40 identified by one of up to  $M^N$  different code combinations, and (ii) a different known library compound carried on each different-combination carrier. Contacting is carried out under conditions in which the target molecules can bind specifically to known  
45 library compounds. For example, in the case of polynucleotide target binding or  
30 oligonucleotide-coated carriers, the contacting is carried out under conditions in which the target can bind by hybridization to complementary-strand oligos on the carriers.

5 The carriers, some of which have bound target, are then distributed for individual-carrier decoding. In the example described above, cylindrical carriers are distributed for carrier flow through a capillary flow path. Alternatively, the carriers  
10 may be examined or scanned, e.g., by light microscopy or raster scanning, according to methods employed for DNA-chip scanning.

5 The scanning is operable to detect carriers having bound target. The target may be detectable in native form, or may be labeled, e.g., by fluorescent label, for detection. Carriers having bound target are then scanned to decode the carriers, allowing the  
15 specific compound carried on the carrier to be identified.

10 It will be appreciated that this method can be used in any application currently employing position-addressable arrays of compounds, for example, oligonucleotides, but in a much simpler, less expensive format.

20 Carriers and analytes may interact in a tube and may be deposited on the slide for "reading" purposes. The manufacturing process consists of producing different classes of carriers and coating them with different probes. For example, microbeads  
25 could be used as carriers since the manufacturing of microbeads of different size and color is well developed and the beads are commercially available from several sources (Bangs Laboratories, Fishers, IN; Molecular Probes, Eugene, OR). Coating of beads  
30 with DNA and other reagents is also a common procedure (Bangs Laboratories, Fishers, IN; Luminex Corp., Austin, TX). Furthermore, beads have been used in flow  
20 cytometry also to analyze reaction products.

35 For the analysis of the binding of target the carriers may be distributed in the random fashion or may be distributed by placing them at discrete locations on a substrate surface, where the detecting and decoding steps may be carried out by a  
25 detector operable to scan the substrate surface.

40 If the carriers are multilayered color carriers, one possible approach to their identification is as follows. A histogram of directions of layers present in the image above the brightness threshold of carriers is constructed. The areas of similar  
45 orientation are found by double-sided thresholding from the peaks of the direction histogram. These areas are then analyzed by means of Mathematical Morphology  
30 described in Serra, "Image Analysis and Mathematical Morphology", Vol. 1. Academic Press, London, 1989, herein incorporated in its entirety by reference, to remove noise

5 and test their shape to determine if the resulting areas are candidates for reading the  
code. Once the carriers are segmented and their orientation known, a projection of the  
image on the line perpendicular to the bands can be calculated. Profiles of this  
10 projection in each color are analyzed, the bands identified, and the code extracted  
5 according to the relative brightness of the bands in each color. All carriers have the  
same number of bands, and possibly not all code combinations are used for redundancy  
and error correction. The carriers with fewer than normal number of bands are rejected.  
15 The code is then tested on the error condition and rejected or corrected. Error  
correcting codes were developed in information theory described in Pless.  
20 "Introduction to the Theory of Error-Correcting Codes", Wiley, New York, 1982,  
herein incorporated in its entirety by reference.

20 The preceding paragraphs deal with image processing required to identify a  
carrier as belonging to a certain carrier class. The second task is to measure one or  
more reporting modalities, e.g., one or more fluorescent colors, or one or more  
25 absorptive colors for each carrier. This can be done essentially with the same image  
processing methods, e.g., correcting the background and calculating the integrated  
intensity within the carrier mask. The more accurate approaches may be 1) taking  
30 pixelwise ratios of fluorescent colors and then averaging it within the carrier, or 2)  
taking the linear regression coefficient as described in Korn, et al., "Mathematical  
20 Handbook for Scientists and Engineers", McGraw-Hill, New York, 1961 of one  
reporting color to another reporting color on the pixels belonging to the carrier or to a  
part of the carrier designated for measurement. In competitive hybridization scheme  
35 the above mentioned linear regression coefficient is the sought after parameter. It is  
desired to evaluate this parameter with as little error as possible. Since segmentation of  
25 the carriers by thresholding or any other means may not be accurate, it is suggested to  
use the error of linear regression coefficient as the basis of final segmentation. This  
40 error is determined statistically on all pixels, which belong to the carrier (or part thereof  
as mentioned above). The refined segmentation is achieved in a sequence of  
45 approximations that systematically modifies the outline of the carrier to minimize the  
error of linear regression coefficient. This process may be constrained by conditions  
30 like minimal and maximal number of pixels, or connectivity, or shape of the outline.

5 The additional benefit of this approach is that the resulting error can be used as a measure of confidence of the regression coefficient.

Another useful application of the invention is in conjunction with probes known  
10 as molecular beacons described in U.S. Patent Nos. 6,037,130 and 5,118,801, Tyagi, et al. F.R. (1996), Nature Biotechnology 14: 303-308, and Fang, et al. (2000) "Advances  
5 in Nucleic Acids and Protein Analysis". Proceedings of SPIE 3926:2-7 each herein incorporated in their entirety by reference. Molecular beacons are two-state probes  
15 containing both a fluorochrome and a quenching moiety. When not hybridized to a target molecule, molecular beacons form a hairpin structure bringing the fluorochrome  
10 into close proximity to the quencher and thereby quenching fluorochrome signal. Hybridization to a target molecule causes the hairpin structure to open, spatially  
20 separating the fluorochrome and quencher and resulting in a hybridization-dependent signal. These probes can be attached to the carriers by a biotin-avidin linkage or other  
chemical linkage of appropriate length that keeps the beacon molecule from physically  
25 interacting with the surface of carrier.

An example of a particularly advantageous combination of carrier and  
molecular beacon technologies relates to clinical diagnostics and pharmacogenetics.  
30 Carriers can be prepared where each class of carriers has attached to it multiple types of molecular beacon probes, where each probe type contains a different fluorochrome  
20 signal. In use, each carrier class could, for example, contain the molecular beacon probes for all the clinically relevant alleles for a particular liver enzyme, with each  
allele probe containing a different fluorochrome. Upon receipt of a patient sample and  
35 specific instructions, a panel of appropriate tests for the alleles of specific liver enzymes can then be quickly assembled and performed. The patient's alleles for each  
25 liver enzyme is then determined by analyzing the fluorescence emission wavelengths associated with each class (code) of carrier.

The invention further provides compositions where the carrier coding element is  
a piece of a flat ribbon made of parallel glass fibers, and each fiber has one of at least  
45 two different colors, refractive indices or other optical properties. The invention further  
30 provides a methods of fabricating carrier codes made from fiber optic components, such as faceplates, windows, image conduits are well developed as described in Hecht,  
"Understanding Fiber Optics", 3 edition, 1998, Prentice Hall, herein incorporated in its

entirety by reference. Individual fibers can be in the range from 3 $\mu$ m to 100 $\mu$ m. Optical fibers can be fused together to form structures consisting of a multitude of fibers in a variety of geometries. In manufacturing, starting with pre-forms fiber assemblies are drawn under heat and pressure such that they are parallel to each other; they retain shape and relative dimensions when drawn to a smaller size. Fibers can be made of transparent or colored glass or plastic. This embodiment of the encoded carriers does not focus on using fibers for optical purposes, which makes their manufacturing easier and widens the choice of materials. In the present embodiment square fibers of transparent or colored glass or plastic are assembled in a flat ribbon pre-form. The order of differently colored fibers defines the code. The number of fibers depends on the desired number of classes to be encoded and the number of available colors. For example with just two colors 16 fibers could encode 64K classes. The assembly is then drawn to the size of approximately 100 $\mu$ m across the ribbon and cut into segments of approximately 200 $\mu$ m to 300 $\mu$ m. Cutting could be done individually by a laser, or after ribbons of the same class have been assembled in a bunch by a saw.

A particularly preferred embodiment of the invention provides for encoded carriers incorporating nanocrystals prepared for use as fluorescent probes. Semiconductor nanocrystals, compared with conventional biological fluorophores like fluorescein and phycobili proteins, have a narrow, tunable, symmetric emission spectrum, are excitable at any wavelength shorter than the emission peak, and are photochemically stable. Fluorescence emission for these nanocrystal fluorophores is dependent on variations in the material composition and physical size as described in Brus, J.Phys.Chem, 98:3575(1994) and Bruchez et al., Science, 281:2013-2016 (1998), both herein in their entirety by reference. In other words, a series of nanocrystal probes can be created that cover a wide emission spectrum from 200nm to 2 $\mu$ m, with narrow emission widths around 20nm, that in turn can be mixed or doped into specific encoding regions of the described carriers. The whole group of different emitting nanocrystals located in defined encoded regions are excitable at a single wavelength. Just as in the case of differential spatial coding by color or other fluorochromes, the nanocrystals extend the range of possible detected classes by taking advantage of the narrower emission and single excitation criteria.



5 A preferred embodiment would incorporate the 3nm CdSe nanocrystals described Nirmal et al. Nature, 383: 802 (1996), herein incorporated in its entirety by reference, in one encoding position and 4.3nm InP nanocrystal in another position. Using UV light excitation, or any wavelength below the emission peak of the highest  
10 5 energy emitting crystal in use, the fluorescence of the two different classes of crystal can be detected and their spatial or positional encoding recorded. In another manifestation using time-gated detection, the fluorescence lifetime can be recorded, which may help with eliminating autofluorescence and background.

15 The invention further provides for encoded carrier "chips" containing an embedded code. The carriers can be of the same overall size and shape, but coding provides for practically unlimited number of carrier classes.

20 Figure 1 depicts exemplary coded chip (101) having 16 bits of information encoding 65536 classes. Identification feature (102) encodes one bit. Identification features are different by an optical property, for example, transmission or reflection.  
25 15 The nominal size of each identification feature is between about 2 to 4 square  $\mu\text{m}$ .

30 The manufacture of such microchips, containing optically identifiable marks, is a standard practice in the microelectronic industry. See generally, "Semiconductor Materials and Process Technology Handbook", G.E. McGuire - ed., Noyes Publications, Park Ridge, NJ, USA, 1998, herein incorporated in its entirety by  
35 20 reference.

40 Figure 2 provides an exemplary method for manufacturing the coded chips of the instant invention where coded chip (201) may be produced, for example, by depositing, 0.5  $\mu\text{m}$  Plasma Enhanced Tetra-Ethyl-Ortho-Silicate (PETEOS) (202) on silicon wafer (203) followed by the deposition of 2  $\mu\text{m}$  polysilicon film (204).  
45 25 Polysilicon film (204) is patterned by a standard photolithography operation (not shown) using a special mask (not shown), which defines identification features (205a) In Figure 2b, plasma etching, removes approximately 0.5  $\mu\text{m}$  polysilicon film (204) in the areas previously patterned to provide the recess for the next deposition step. In  
50 30 Figure 2c, identification feature film (205) is deposited onto the now patterned polysilicon film (204) and will provide contrast to polysilicon (204) and, therefore, the desired identification marks. Identification film (205) could be silicon nitride or a metal film (aluminum or tungsten) for the inspection in transmitted or reflected light.

5 In the case of a metal film, metal in the film is removed by chemical mechanical polishing (CMP), leaving metal only in the recessed areas, see Figure 2d. The next photolithography step, Figure 2e and 2f, will define the boundaries of coded chip (201), and polysilicon (204) will be etched through to PETEOS (202). Then, wet etching in  
10 dilute (50:1) Hydrofluoric (HF) acid will release the microchips from the substrate, see Figures 2f and g.

Coded chip code determination is achieved by pattern matching – a method  
15 commonly used in machine vision. Each code forms a pattern of dark and light squares and could be matched against each carrier, with the closest match giving the carrier class number. There are commercially available packages that implement this type of  
20 processing, for example, Matrox Imaging Library, PatMax object location software, and Vision Blox - machine vision software. Alternatively, a specific algorithm can be developed to directly read the code from the carrier image. For example, such  
25 algorithm could comprise the following steps: correcting for background non-uniformity, setting a threshold at a level that distinguishes coded chips from the background noise, adjusting for image gaps, approximating rectangles and rejecting  
30 images if their actual shape deviates from a rectangle (in the event of overlapping carriers), rotating the image to normalized orientation, measuring to average image value in the middle of subsquares, and determining the code.

20 The invention further provides for the use of taggants as coded carriers. In this embodiment, the coded carriers to which the library compounds are attached are taggant particles, such as disclosed in U.S. Patent Nos. 4,053,433, of which is herein  
35 incorporated in its entirety by reference. These particles are typically 1-200 micron size range and are formed of a plurality of N layers, each layer having one of M colors, allowing  $M^N$  different coded carriers. Alternatively, the taggants may have different  
40 combinations of isotopes, radioisotopes, fluorescent labels, or compounds releasable in vapor phase, as described, for example, in U.S. Patent Nos. 5,760,394, 5,409,839, 5,222,900, 4,652,395, and 4,363,965, each of which is herein incorporated in their  
45 entirety by reference. Color-coded taggants may be made, in accordance with the invention by forming multilayered sheets, as illustrated below, and processing the  
50 sheets into a desired shape.

Figure 3 depicts one preferred embodiment of using layered taggants as carriers. Sheet (301) comprising coding layers (302) is cut by cylinder micro-punch (303) into cylinders (304) allowing these to be imaged (deconvoluted) by flowing cylinders (304) through capillary tube (305) having an inside diameter slightly larger than cylinders (304) past color-sensitive detector (306) having viewing window (307) which is able to read the successive color layers in each carrier. In this way, the identity of each different carrier can be quickly established by scanning the flow of cylinders through the capillary tube.

In use, a composition containing up to  $M^n$  different coded carriers, each formed with a different surface-attached compound, for example, oligonucleotide, oligopeptide, or small organic compound, is reacted with a target, for example, receptor molecule, under conditions which lead to binding of the target to beads carrying compounds that bind specifically to the target. Preferably the target molecules are labeled, e.g., with a colored or fluorescent reporter. The carriers are then fed into a capillary flow tube, past a detector, where the carriers are first scanned for the presence of target binding. For those carriers that have bound target, a second scanning device then "decodes" the pattern of colors of the device, to identify the compound on the carrier according to its carrier code. It will be appreciated that other types of carriers, for example, cylindrical or rod-shaped carriers, that can be oriented in a capillary flow tube, and which can be encoded in a top-to-bottom fashion, e.g., with different layers having individually identifiable indicia, can be employed in the method. Thus, cylindrical carriers having layers of different fluorescent labels can be "decoded" in the same fashion. Alternatively, the carriers may have a magnetic layer or component that allows for magnetic separation of said carriers.

Figure 4 depicts a method for comparative hybridization analysis. Figure 4a depicts different coded carriers (401) being combined with different probe DNA (402) thus producing probe carriers (403). Figure 4b depicts probe carriers (403) being combined with both labeled reference DNA (404) and labeled test DNA (405) in tube (406). Figure 4c depicts the hybridization of labeled reference DNA (404) and labeled test DNA (405) with probe carriers (403). Figure 4d depicts post-hybridization probe carriers (403) with bound DNAs (404) and (405) randomly distributed upon slide

5 surface (407). Figure 4e depicts the use of a computer based system (408) to identify DNAs (404) and (405) and determine the codes contained within probe carriers (403).

Figure 5 depicts the detection of DNA after PCR. Figure 5a depicts serum  
10 sample (501) containing viral DNA sequences (503) in tube (502). Figure 5b depicts the addition of specific primers (504) at a concentration less than viral DNA sequences (503) concentration. PCR cycles are then run until most primers (504) are used. Figure  
15 5d depicts combining both carriers with specific primers (504) attached to coded carriers (505) such that individual carriers (505) contain only one type of specific primers (504) in tube (502) with a labeled nucleotide cocktail, not shown. Viral DNA  
20 sequences (503) are then hybridized to their related specific primers (504) attached to coded carriers (505). A polymerase fill in reaction or PCR is then performed to extend specific primers (504) attached to carriers (505) incorporating the labeled nucleotides  
25 not shown. Carriers (505) with unrelated primers attached do not extend or amplify and thus do not incorporate labeled nucleotides into specific primers (504) attached to carriers (505). Figure 5e depicts the end result of specific primer (504) extension  
30 shown in Figure 5d. In particular, labeled carrier (506) comprising coded carrier (505) having a related specific primer (504) and viral DNA sequence (503) and newly extended and labeled primer strand (507). Also shown are unlabeled carriers (508) bearing unrelated primers (509). Figure 5f depicts the random distribution of both  
35 labeled (510) and unlabeled (511) carriers on slide (512). Figure 5g depicts a computer used to determine and record active positions and coding data collected from the random array of carriers (505) depicted in Figure 5f. Figure 5h depicts an alternate  
40 means for analyzing labeled carrier by differential sedimentation or buoyant density gradient separation where labeled (510) and unlabeled (511) carriers are separated into  
25 several classes, (515) and (516) based on density which encodes the carrier, and examining which carriers are labeled.

Figure 6 depicts a method for specifically detecting and identifying different  
45 microorganisms (603) suspended in a liquid medium. Figure 6a depicts different carriers (601) each coated with different capturing antibodies (601a) specific for one  
30 type of different microorganism (603). Figure 6b depicts the placement of carriers (601) into column (602). Liquid medium source (604) containing microorganism (603) is supplied to column (603) and liquid medium (604a) is allowed to flow through  
50

5 column (602) and contact carriers (601). Microorganisms (603) contact and bind their  
respective, specific carrier (601). Figure 6d depicts the excess addition of generic  
reporting molecule (605) that binds all microorganisms (603). Carrier (601)  
10 microorganism (603) and generic reporter molecule (605) are then randomly placed on  
surface (606) for analysis and code determination.

Figure 7 depicts a method for measuring CD4/CD8 T cell ratios in blood.  
Figure 7a depicts tube (700) containing whole blood sample (701). Figure 7b depicts  
15 whole blood sample (701) after fractionation into WBC (702) and RBC (703) fractions.  
Figure 7c depicts container (704) containing different carriers each displaying different  
capturing antibodies such that antiCD4 carrier (705) captures CD4 bearing cells, and  
20 antiCD8 carrier (706) captures only CD8 bearing cells. Figure 7d depicts antiCD8  
carriers (706) and antiCD4 carriers (705) placed into column (707). Figure 7f depicts  
bound WBC cells (702a) bound to their respective antiCD4 carriers (705) and antiCD8  
carriers (706) depending on which antigen is displayed on each WBC cells (702a), and  
25 generic detection molecule (708), such as a detectable antiDNA antibody, attached to  
each WBC cell (702a). Figure 7g depicts carriers (705) and (706) randomly distributed  
onto surface (709) for detection and code determination.

Figure 8 depicts a method for screening synthetic molecular compound libraries  
30 for drug discovery. Figure 8a depicts ligands (804). Figure 8b depicts coded carriers  
(805). Different coded carriers (805) are combined with different ligands (804) such  
that each distinct class of ligands (804) is combined with a distinct class of coded  
35 carriers (805) to form different carrier classes each having one compound as in class 1  
carrier (806), class 2 carrier (807), and class 3 carrier (808). All carrier classes (805a)  
are combined into tube (803) as depicted in Figure 8c. Figure 8d depicts the addition of  
detectable target receptor (810) to all carrier classes (805a) in tube (803) so that target  
40 receptor (810) only combines with carrier class (806) and not carrier classes (807) or  
(808). Figure 8e depicts the random placement of all carrier classes (805a) for  
detection and code determination. The method described in this paragraph may be  
practiced by either coating different classes of carriers with different ligands for  
45 screening against one receptor class, or conversely, coating different carrier classes  
30 with different receptor classes and screening them against one ligand class.

Figure 9 depicts surface (900) with carriers (901) distributed thereon.

Figure 10 depicts several different embodiments of taggants (1000, 1000a, 1000b, 1000c, 1000d) suitable for use as carriers. Taggant (1000) is made by bundling distinctive fibers without twining, and shearing off disks by cutting the bundle, typically after its diameter has been reduced by stretching the bundle longitudinally. Distinctive fibers (1001), (1002), may be combined with center alignment paramagnetic core (1004) and a position marker (1003). Position marker (1003) is used to establish the proper reading frame of taggant (1000). Each of the other embodiments shown follows a similar scheme. As can be seen, flat shapes provide excellent optical access to the code to facilitate code determination.

Figure 11 depicts a fused glass fiber coded carrier. Fused fiber carrier (1100) comprises a sandwich of fibers, attached to each other. The fibers (11001), (1002), (1003), (1004), (1005), and (1006) may be attached by bonding, fusing, heat fusion, gluing, or encasement by a sheath, such that the cross sectional arrangement of the fibers is fixed.

Figure 12 depicts a method for analyzing active carriers and determining active carrier codes.

Figure 13 depicts a carrier array fixedly organized in a structure. Fixed array (1300) contains carriers (1306) fixedly organized by the interior geometry of array organizer (1301) and the geometry of carriers (1306). Figure 13a depicts array organizer (1301) used to form fixed array (1300). Array organizer (1301) has an inlet (1302) with inlet frit (1302a) and outlet (1303) with outlet frit (1303a). An exhaust port, not shown, may be included with array organizer (1301) for gas or fluid escape. Array organizer (1301) may provide flat viewing surface (1301a) or other surface such as a cylinder surface. Figure 13b depicts carriers (1306) packed such that once carrier (1306) is assembled during manufacture, carriers (1306) cannot shift in position thus being fixedly organized. Frits (1302a and 1303a) prevent carriers (1306) from escaping from array organizer (1301). Figure 13c depicts a cross sectional view of array organizer (1301) with an array of carriers (1306) organized therein. Depending on the shape of carriers (1306), very little dead volume exists in organized array (1300). For example, six sided disks having a top surface, a bottom surface, and six sides forming a hexagon, could compact with their top and bottom surfaces contacting array organizer (1301)'s top optical viewing window (1301a) or bottom optical viewing window

5 (1301b) respectively, and where carrier (1306) sides would align to form a  
"honeycomb" arrangement, thus minimizing dead volume while maintaining maximum  
carrier (1306) fluidic contact. Figure 13d depicts capillary carrier array (1307) having  
10 capillary shaped array organizer (1309) with carriers (1306) fixedly organized therein  
and maintained by capillary pinch points (1308) and (1310) such that carriers (1306)  
5 fixedly rest against one another thus minimizing dead volume. Figure 13e depicts  
organized array (1300) fixedly attached to surface (1312) having memory device  
15 (1311) further attached to surface (1312)

The invention further provides for methods to measure one or more target-  
10 compound interactions. This can be done with the same image processing methods,  
e.g., correcting the background and calculating the integrated intensity. Reading of  
20 specimens produced according to the present invention can also be done, for example,  
on a microscope equipped with appropriate optics, camera and software.

Since the invention provides for many different types of carriers, different  
15 methods of carrier code identification are provided depending on the nature of the  
particular carrier's encoding properties. If carriers are beads of different size, the  
diameter of the beads can be estimated from the image of a field containing them in  
transmitted light, fluorescence, phase contrast or other microscope modalities. The  
30 most common way of acquiring a digital image at this time is by means of a CCD  
camera. Once the image field is obtained, it can be corrected for background variation  
20 and thus a threshold level set. Each connected set of pixels represents a carrier or bead.  
The area of such a set is the number of pixels, and from this area the diameter can be  
35 calculated. This is the simplest way of estimating the diameter. More accurate  
methods have been developed that give accuracy of a fraction of the pixel size, see  
25 generally Verbeek, et al., IEEE Transactions on pattern analysis and machine  
intelligence; 16(7):726-733 (1994), and van Vliet, et al., Proc. IEEE Instrumentation and  
40 measurement technology conf., IMTC94, Hamamatsu, Japan, May 10-12, 1994,  
pages 1357-1360, each of which is herein incorporated in their entirety by reference.

45 A high degree of measurement accuracy can be achieved by developing a  
30 theoretical model of image intensity distribution produced by each class of carriers and  
fitting it to the actually observed images.

$$\text{Image Intensity} = f(x, y, P),$$

where:  $x, y$  are pixel coordinates relative to the center of the carrier,  $P$  is the parameter vector, which can consist, for example, of the size parameter and the brightness parameter.

These functions can be constructed because the carrier classes, the microscope optics, and the image acquisition system are known and can be characterized analytically. The approximation of the theoretical image intensity to the observed image intensity can be done by the least squares method, see generally Press, et al., "Numerical Recipes in C: The art of scientific computing", Cambridge Univ. Press, Cambridge (1988), herein incorporated in its entirety by reference. The result of this approximation is a parameter vector, which gives the best fit. The values of parameters determine the class to which the carrier belongs. In the example of beads of different sizes the accuracy of measurement and the accuracy of manufacturing of the beads determine the possible number of classes that can be allocated to the size feature in a certain practical size range.

If carriers are different by color, a set of images, corresponding to different spectral bands, may be acquired. A combination of these images can be used to produce and analyze the mask of the beads as described in the previous paragraphs. For each bead mask relative image values can be determined in all spectral images. Each bead color will generate a characteristic set of these values, which can be used to identify them.

There are several commercially available image processing packages that could be used to perform all required operations for the described method, for example, Image Pro Plus by Media Cybernetics, Aphelion by Amerinex Applied Imaging, and IPLab by Signal Analytics Corp.

The invention has many facets, each of which may have many forms that may be combined to form numerous permutations of the invention. For example, carrier structure may play several different roles, compounds may be attached in differing ways to carriers, screening may occur before or after array determination, and arrays may either be preformed by the manufacturer with determination occurring by the manufacturer or the end user. Accordingly, a detailed examination of each step is



5 provided with exemplary permutations presented where appropriate. Permutations included in this specification are merely illustrative and are not to be considered limiting of the scope of the instant invention.

10 The structure of a carrier may provide several key features. For example, the geometry of a carrier may serve as coding indicia. Carriers would then be distinguished by their appearance or by physical differences caused by their shape. The shape of a carrier may affect the carrier's hydrodynamic character in a way that distinguished each species of carrier from one another. Shape may also play a role in how a carrier displays itself. Cylinders of stacked laminates may be used in conjunction with a tube reader. The cylinders self-orient as they enter the tube reader thus presenting their band pattern as they pass a detector. Hemispheres will settle in a fluid with their flat surface upwards if the hemisphere is weighted on the apex of the spherical side. Disks are preferred because they orient with either disk surface facing upwards. This is helpful when encoding comprises combining strands of colored fibers into a bundle that is later sliced to form disks.

25 Carrier orientation is often important when optical code determinations are made. Coding regions must be exposed in a direction suitable for interrogation. As discussed above, orientation may be specified by physical properties of the carriers. Orientation may be specified by carrier shape, but it may also be specified by weight, or buoyancy. Carriers may further orient themselves by the application of an external force aside from gravity. For example, carriers may have a para-magnetic quality such that when they are in the presence of a sufficiently strong magnetic field, they will align themselves accordingly. Carriers may further demonstrate dielectric potential such that carriers may be daisy chained by the application of a dielectrophoretic alternating current field.

40 The invention provides for encoded carriers. Coding may be a determinable property such as spatially distinct indicia, temporally distinct indicia, and functionally distinct indicia. Spatially distinct indicia include any material, or combination of materials that can produce a discernable pattern. For example, a carrier may comprise a sandwich of individually discernable layers. Layers may differ in color, refractive index, refractivity, shade, or texture. So long as the different materials used for layers have distinguishing character that is detectable, such different materials may be used as

coding indicia. Patterns may also be formed in microchips by photolithography, or onto films such as with microfilm technology. For example, shapes may be combined with colors to improve diversity. Available indicia from one class may be combined with indicia from other classes to further broaden the coding vocabulary. Layers may, for example, be formed as sandwiches, ribbons, twines, ropes, concentric spheres, cables, strands, cylinders, cubes, disks, pyramids, or combinations of these embodiments.

Indicia may be temporally distinct, that is dynamic rather than static as described above. Temporal coding may arise by short pulse excitation of fluorophores having different hysteresis, that is, individual fluorophores will emit light back at different times for different durations. Any electromagnetically-induced effect can act as coding indicia if it either responds to a specific impulse, or produces a specific response.

Indicia may also be functionally distinct. As discussed above, carriers may be discerned based on their electrophoretic properties. Such properties may be dictated by either electrical characteristics, isoelectrical characteristics based on pH, and physical or hydrodynamic properties, or a combination of these attributes. Buoyant density may be used as well as sedimentation velocity. Molecular recognition may be used by methods such as agglutination and surface labeling. The latter may further impart upon a carrier some other attribute such as color or density if, for example, a colloidal gold conjugate is used.

If DNA is used as indicia, encoding can be done by varying the number of bases between a set of constant PCR primers. Performing PCR with appropriate primers would then yield DNA of a particular length corresponding to a particular carrier class. PAGE, CE, or HPLC could then be used to ascertain carrier DNA lengths. By using different primer sets on a subset of the carriers, greater diversity could be realized, however at the expense of running additional PCR reactions. Once the DNA lengths are determined, the carrier identity, and thus the compound carried on it, can be determined.

Carriers may be manufactured by many different methods. For example, disk carriers may combine or bundle together several different strand materials. Strands may differ by color, response to chemical treatment, refraction, shade, physical

5 property including magnetism, or by composition. Bundled strands may then be pulled  
and stretched to reduce the diameter of the bundle. Heat may be applied to facilitate  
this process. Once a desired diameter is attained, the bundles may then be sliced,  
10 sheared, or abraded to produce microscopic disks or cylinders. Longer segments may  
be cut to produce rods that may be read by rotating the rod while observing the  
circumference of the rod-cylinder. Particularly preferred methods are described in U.S.  
Patent Nos. 4,390,452; 4,329,393; 4,053,433; 3,897,284; and 4,640,035, all of which  
15 are entirely incorporated herein by reference.

Color-coded taggants can also be manufactured according to the methods  
20 described in US Patent 4,640,035. These carriers are manufactured as thin transverse  
sections of an assembly of elongated elements (e.g. fibers) of different colors forming a  
transversal united structure. After sectioning such structure the resulting plurality of  
distinguishable areas in each carrier (and their relative location) provide a coding  
element. Furthermore the assembly can be produced by combining pre-existing  
25 filaments or by extrusion through a die and drawn down to a desired size before  
sectioning.

In use, a composition containing up to  $M^N$  different coded carriers, each formed  
with a different surface-attached compound, e.g., oligonucleotide, oligopeptide, or  
30 small organic compound, is reacted with a target, e.g., receptor molecule, under  
conditions which lead to specific binding of the target to carriers carrying the  
appropriate compound(s). Preferably the target molecules are labeled, e.g., with a  
colored or fluorescent reporter. The carriers are then fed into a capillary flow tube, past  
35 a detector, where the carriers are scanned for the presence and amount of target  
binding, and the color pattern is decoded and the compound on the carrier identified  
according to its code. It will be appreciated that other types of carriers, e.g., cylindrical  
40 or rod-shaped carriers, that can be oriented in a capillary flow tube, and which can be  
encoded in a top-to-bottom fashion, or in spiral fashion, e.g., with different layers  
having individually identifiable indicia, can be employed in the method. Thus,  
cylindrical, or elongated carriers having layers of different fluorescent labels can be  
45 "decoded" in the same fashion. Alternatively, the carriers may have a magnetic layer or  
50 component that allows for the magnetic separation or orientation of said carriers.

5 More generally, in use, the method of the invention is designed for detecting one or more target molecules capable of binding specifically to one or more different, known library compounds. In practicing the detection method, the target is contacted with the library composition of the invention, that is a chemical-library composition  
10 composed of (i) a plurality of coded carriers, each having  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be identified by one of up to  $M^N$  different code combinations, and (ii) a different known library compound carried on each different-combination carrier. The contacting is  
15 carried out under conditions in which the target molecules can bind specifically to known library compounds. For example, in the case of polynucleotide target binding or oligonucleotide-coated carriers, the contacting is carried out under conditions in which  
20 the target can bind by hybridization to complementary-strand oligonucleotides on the carriers.

The carriers, some of which have bound target, are then distributed for carrier  
25 decoding. In the example described above, cylindrical, or elongated carriers are distributed for carrier flow through a capillary flow path. Alternatively, the carriers can be distributed on a glass slide to be examined or scanned, e.g., by light microscopy or raster scanning, according to methods employed for DNA-chip scanning.

30 The scanning serves the dual purpose of decoding the carriers, and thus identifying the specific compound carried on the carrier, and to assess the amount of bound target on the carriers. The target may be detectable in native form, or may be labeled, e.g., by fluorescent label, for detection.

35 It will be appreciated that this method can be used in any application currently employing position-addressable microarrays of compounds, e.g., oligonucleotides, but  
40 in a much simpler, more flexible, less expensive format.

45 Finally, considering the construction or preparation of the composition of the invention, this is done, in the most general case placing into each of a plurality of a separate reaction vessels, carriers having a selected one of a plurality of detectable code combinations, each defined by one of  $N > 1$  specified code positions and one of  $M > 1$   
50 detectable indicia at each code position, such that the carriers in any vessel all have one of up to  $M^N$  different code combinations. Thus, for example, in forming an  $M^N$  size oligonucleotide library, carriers containing one of the  $M^N$  codes are placed into each of

one of  $M^N$  separate reaction vessels. The carriers are prepared according to known methods to act as the support surface for stepwise solid-phase synthesis. Thus, for example, the carriers may include a linker and suitable terminal chemical group for attachment of an initial protected nucleotide. Furthermore, a plurality of different linkers may be situated on a carrier either as a whole, or in specific locations where each location has a different linker on it. Different linkers may differ in functionality of reactions conditions. A combination of such linkers enable orthogonal coupling of compounds to a carrier. Moreover, a carrier may be combined with a plurality of compounds to create a multicomponent carrier. A preferred example is combining fluorophores with cleavable quenchers, where cleavage occurs as a result of a target event. Quencher release then permits the fluorophore to detectably fluoresce. Such compounds, molecules and chemistries are known by those skilled in the art. Therefore, each reaction vessel is subjected to steps for forming a selected oligomer sequence associated with the known carrier code in each vessel. This process is repeated until the compound associated with each carrier has been formed on the carrier. Alternatively, the compounds to be attached to each carrier can be prepared independent of the carrier, and attached by covalent coupling, after final compound synthesis.

Once the  $M^N$  different carriers are formed in this manner, the carriers may be mixed in a desired fashion, e.g., equal numbers or weights of carriers from each vessel to form a library composition containing all or a selected subset of the  $M^N$  different carriers, each carrying a different known compound.

In one additional and attractive embodiment of the invention, the carriers in the chemical library are prepared by attaching to them DNA probes containing their own signaling mechanism (e.g., "molecular beacons") such that only in the presence of the specific target molecule a fluorescent signal is emitted. This allows sensitive and specific reading of the signal and an excellent signal-to-noise ratio. This is particularly useful in applications associated with single nucleotide polymorphisms where the differences between genes are small.

Spheres or beads may serve as carriers. Beads may be discernable by size, density, granularity, refractive index, color, fluorescence, or may contain yet another carrier or carriers that are further discernable. Beads may contain sub-populations of

5 other, smaller beads distinguishable by color or other optical or physical features.  
Beads may be produced by a variety of methods including ultrasonic fluidic drop  
formation. Such methods produce exceedingly uniform bead diameters and spherical  
10 shape. Drop size is highly controllable so that preparation of a library of different sized  
carriers is possible. Beads can also be formed in a non-uniform manner, and then later  
sized by passing through a descending series of mesh screens. Polymer solutions used  
to form beads may themselves contain beads or particles, or combinations of each,  
15 smaller than the to-be-formed bead diameter. Examples of beads and particles can be  
found in Bang's bead catalog, Flow Cytometry Standards catalog, and Molecular  
Probes catalog, each of which is herein incorporated by reference.

20 A particularly preferred carrier is formed from a layered sandwich code. Such  
layered sandwiches may be formed by bonding film layers together to form a pattern in  
cross section. Like strands, film layers may differ from one another by chemical,  
optical, or electrical properties. Chemical differences may include differential  
25 reactivity, isotopic, see for example U.S. Patent 5,760,394, herein incorporated in its  
entirety by reference. Indicia may also include radioisotopic differences and resistance  
to chemical attack. Optical differences may include colorimetric, reflective,  
granularity, polarization, and optical index. Electrical differences may include  
30 dielectric properties, where the sandwich yields a particular capacitance as a result of  
serially forming a capacitor sandwich, or the difference may be in resistance where  
each layer has a unique resistive value that can be combined to form a total and distinct  
resistance.

35 Films may be used for carriers. In particular, U.S. Patent 4,390,452 describes  
the use of microfilm or microfiche disks or fragments, photographically imprinted with  
a code to create taggants and is herein incorporated in its entirety by reference. Films  
25 may further be layered upon an orienting layer to aid in orienting the image for  
visualization. Films may also be imprinted by inkjet, photolithographic, electrostatic,  
40 or xerographic methods.

45 Structures may also be used as carriers. A given structure may serve as a  
support for a coding scheme as in the case of films. A structure may also serve as the  
30 coding source or indicia by etching with photolithographic methods to create an optical  
pattern. Combination approaches may include a layer sandwich punched out into

discernable shapes. Differing coding structures may also be produced by extrusion, molding, spray formation, electrospray deposition, vapor deposition, machining, punching, or may be naturally diverse, for example, particular species of diatoms. Structure differences may also occur at the atomic or polymeric level, for example, as with "bucky balls."

Carriers may be supplied to end users in a variety of ways. For example, arrays or libraries of compounds coupled to encoded carriers may be supplied either unblended or pre-blended. Moreover, blended arrays may further be allocated or individually formed as single or non or minimally redundant arrays. Naked or compoundless coded carriers may also be supplied so that the end user may couple their compounds to carrier populations with a particular code, and combine different compound carriers to form a custom library or array. Any format described here may be sold by a manufacturer as a kit including reagents and instructions for making an array.

Compounds may be attached to carriers in a variety of ways. Compounds may be synthesized in place, typically on some linker. Parallel synthesis may speed up the process. Many commercially available synthesizers may be used to synthesize compounds onto carriers. Compounds may also be attached to reactive linkers, or by adsorption. This permits both natural product and synthetic compounds to be linked to carriers. Large molecular structures such as receptors and enzymes may also be attached either by covalent, adsorptive, or binding reactions. Binding reactions may include, for example, biotin-streptavidin, or biotin-BirA interactions. Receptors bound to carriers are well suited for soluble ligand binding studies. In particular, if a chemical or photo-cleavable linker is used to attach a compound to a carrier, and such a compound carrier is further combined with other carriers displaying receptors for which they too encode, a dual matrix of compounds and receptors or targets may be combined and analyzed. Analysis may be performed by looking for displacement of an already bound, fluorescent ligand from each receptor. If a nearby compound carrier so happens to be near a corresponding target receptor carrier, fluorescence will be lost on that receptor carrier. Placing a single compound carrier into an individual well containing a plurality of different receptor carriers may further enhance this assay format. Wellless formats may use anti-convectionants such as agar or alginate to help limit diffusion.

5                   Arrays may be physically retained to geographically fix the position of coded  
carriers. This is useful if the array is determined before contacting it with a target or  
analyte. A manufacturer may organize an array, determine what compound is at each  
10                   position, and then embed that information into the array, or closely associate or attach  
the information to the array. A programmable read only memory semiconductor may  
be "burned in" with the compound coordinates for later look-up by an end user array  
scanning device. The end user would then add analyte, react and scan the array for  
15                   active regions, where then a computer could correlate the scan data to the supplied  
ROM coordinate data to recreate an array. Organized arrays may also be identified by  
a serial number, perhaps in bar code format, that links the organized array to a data set  
10                   held remotely to the organized array, for example, on a CD ROM. This would enable a  
manufacturer to sell lots of predetermined organized arrays linked to custom CD  
ROMS by bar codes, where the end user's scanning device would utilize coordinate  
20                   information for each organized array stored on the CD ROM for correlating active  
regions within organized arrays to particular compounds.

15                   Carrier shape may influence how an array is formed. For example, spheres  
naturally form a compact two-dimensional array if they have a different buoyant  
density than the medium, which they are suspended in. If the spheres are denser than  
30                   the medium, the spheres will settle on the bottom of the medium, and if the medium is  
denser, the spheres will float. Either way, the spheres will settle, up or down, and form  
20                   an array. Assuming that there are just enough spheres to create a monolayer of spheres  
tightly packed together, each sphere in the array will become relatively fixed in its  
35                   position. Spheres allow for relatively simple array formation at high density. Other  
shapes may be used. For example, rectangular blocks may be used easily if they settle,  
25                   on average, with enough space between so as to avoid stacking. Those carriers that do  
stack may be dislodged by mechanical agitation. By adding mechanical energy to the  
40                   system, a higher degree of organization may be achieved. For example, the rectangular  
carrier described above may further be organized by tilting the settling plane to cause  
the carriers to slide up against one another. Further order may be realized by vibrating  
45                   the plane to cause the carriers to further fit together. One skilled in the art would  
30                   appreciate that many other shapes would create well-organized, compact arrays. In  
particular, hexagonal "disks" would compact nicely in to a honeycomb like matrix, well



5 suited for later optical analysis. This approach may be used with disks, polygons,  
cubes, triangles, octagons, and the like. Particularly useful is a shape with a flat  
"viewing surface" that would self-orient such that all carriers in an array would settle  
10 with the viewing surfaces facing in one direction. Again, disks with one or more sides  
and at least one flat surface are ideal. As discussed above, weight distribution within  
the carrier may also facilitate orientation.

15 Arrays may be packaged in chambers that not only orient the carriers, but also  
make the carriers conveniently accessible to solvents and optical interrogation. For  
example, a planar diamond shaped container may be sealed on both faces with fluid  
20 input and output ports located distal from one another. Such a chamber would readily  
expose all carriers to solvents, in particular analyte solvents, by pumping in such  
solvent, with the output serving as a purge port. Washing is done by further flowing  
solvents across the chamber, thus contacting and washing each carrier. This  
arrangement is particularly suitable to automation.

25 Tubes, such as capillary tubes, may also be used to organize carriers. Tubes  
may be used to positionally fix carriers or to transiently align carriers for interrogation.  
In the former, for example, cylinder stacked carriers may be settled into a capillary and  
fixed into position by slightly pinching the capillary at each end. Fluids could then be  
30 perfused through the capillary to expose the carriers to analyte containing solvents.  
The capillary containing fixed carriers could then be interrogated by passing the  
capillary across a scanner. Alternatively, the cylinder carriers could be pumped  
through the capillary to orient and align the carrier with an interrogating window  
35 situated along the capillary path. In either case, cylinders can be introduced into the  
capillary by many methods, for example, by funneling the cylinders while they are  
suspended in a fluid matrix. Electrically polarized carriers could be suspended in an  
40 electrolyte fluid and electrophoretically induced to enter the capillary from the  
suspension solvent. Dielectrophoresis may also be used to "daisy chain" the carriers in  
a particular orientation. Combining paramagnetic material with a carrier would allow  
external magnetic fields to induce order amongst the carriers.

45 30 Arrays may be organized in different ways during their use depending on the  
stage of the array analysis. As described above, arrays may be organized before, during  
or after they are exposed to an analyte. Carriers within an array may be subdivided

5 based on each carrier's response to an analyte. Thus, analyte reactive carriers may be concentrated such that all isolated carriers within that class exhibit a positive response. This separation serves to minimize the amount of code interrogation that must be performed. Response based separation is ideal for coding schemes or large arrays that  
10 may require an interrogation time duration not suitable for interrogating an entire array.

Arrays may be contacted with analytes and other solvents before, during or after organization. A simple method provides for contacting the array with an analyte in a standard reaction tube, performing all necessary steps such as washing in that tube, and then dumping the array onto a petri dish or slide surface for microscopic or other  
15 optical interrogation. Many organized array formats, such as capillaries and other chambers that provide fluid inlet and outlet ports, are ideal for exposing and washing arrays by robotic or other automated means. In essence, these chambers function like a chromatography column. Accordingly, tube diameters greater than two times the minimum diameter of a carrier may function well for contacting carriers with various  
20 solutions including analytes solutions. If the tubes are packed loosely, they may be vortexed to further contact the carriers with solutions. Column arrays are ideal for passing voluminous analytes such as drinking water microbial analysis. The carriers may then be analyzed by disbursing them onto a dish or slide surface, or by other means such as flow cytometry.

25 Arrays may be screened or analyzed before, during, or after the carrier identities are determined. Methods for screening generally involve providing a library of compounds on discretely coded carriers, contacting the carriers with an analyte potentially containing a target analyte corresponding to a carrier bound compound, allowing any target molecules to bind their respective compounds, detecting target  
30 molecules that may have interacted with their corresponding compounds, and determining carrier codes for at least the carriers with targets bound. The last two steps are interchangeable if all of the carrier identities are determined prior to detecting target-compound interactions.

45 A particularly useful method for using coded carriers employs flow cytometry analysis. Here, the user may contact an analyte with an array in a standard reaction vessel such as a test tube. After completing steps necessary to realize an optically discernable result on a carrier surface, the carriers may then be fed into a flow  
50

cytometer for analysis and separation. Analytical methods that may be adapted for use with coded carriers are described in detail in the Becton Dickinson FACStar Plus User's manual, herein incorporated in its entirety by reference. Analysis for target-compound interaction may result in sorting of "positives" from "negatives" where then carrier codes are later determined by additional flow cytometry, described below, or by placing the positives in a separate chamber or on a separate surface for optical analysis. A cell sorter is ideal for arranging carriers onto a grid for other analysis.

A particularly preferred method of using flow cytometry is to simultaneously, or near-simultaneously interrogate carriers for both target-compound interactions and carrier code identity. This may be done, for example, by using the optics of a flow cytometer to distinguish between different optical characteristics emanating from each component such as target-compound and carrier code optical characteristics. For example, Target-compound interactions may result in the binding of a FITC conjugate to the carrier. Using the blue output of the light source, typically a blue line of a laser, FITC is excited resulting in a green light emission. Thus, positive target-compound interactions fluoresce as green light. The green light is then detected by an optical detector tuned to respond to green light only. Carrier codes, on the other hand, may have several different color emissions as indicia. Such output may be analyzed as a composite, which is then used to reconstruct the carrier code by comparison of the composite spectra with a set of predetermined spectra. Problems may arise in that spectral analysis of the entire carrier may be confused by light coupling between different fluorescing components of the carrier code. To avoid this problem, the invention further provides for separating each fluorescing layer of the layered carriers with an opaque layer to prevent optical coupling. The result is that optical coupling is minimized and more predictable and optically discernable fluorescent outputs are realized. Using more than one laser to interrogate a carrier may further enhance this method. Many flow cytometers permit the use of multiple lasers to interrogate carriers suspended in the cytometer's fluid stream. The use of additional lasers set for different color outputs enhances signal separation when carriers are coded such that each fluorescent code layer is separated by an opaque layer. Since fluorophores that excite at shorter wavelengths are not optically coupled to fluorophores that excite at longer wavelengths, light emission of the longer wavelength fluorophore is minimized when

5 shorter wavelength light is used to excite the shorter wavelength fluorophore because  
wavelength shifted light from the shorter wavelength fluorophore does not "spill over"  
to the longer wavelength fluorophore thus causing it to excite as well. Without optical  
10 separation between fluorophore code components, excitation of a higher wavelength  
5 fluorophore causes that fluorophore to emit lower wavelength light that then  
inadvertently excites another yet lower wavelength fluorophore to excite thus causing  
that lower wavelength fluorophore to emit a still yet lower wavelength of light. This  
15 greatly limits the number of discernable codes that a set of fluorophore indicia may  
provide. Optical partitioning, as described above, greatly reduces this "cross-talk"  
10 effect.

Carrier orientation during flow cytometry interrogation may be achieved by  
20 several methods. Shape, such as a cylindrical shape, may be used to orient a carrier in a  
fluid stream. Flow cytometry often uses two fluid systems to create a fluid stream for  
interrogation. A smaller diameter carrier-containing stream may be coaxially  
25 positioned within a larger diameter "sheath" stream. The flow rate of each stream may  
be differentiated to create eddy currents at the interface between the two sheaths.  
These eddy currents can produce a "riffling" effect to maintain cylinder orientation  
after ejection from a nozzle orifice. In another embodiment, paramagnetic material  
30 placed at one end of the carrier cylinder may be magnetically induced to orient the  
20 carrier in one direction as it traverses the cytometer's interrogation window. Each of  
these methods may be used to best orient a layered carrier, especially optically  
partitioned carriers. Translucent layering may also be used, especially for fluorophore  
35 film layers, to permit light entry and emission from several sides of a carrier.  
Interrogation may also be realized by illuminating and observing the same side of a  
25 carrier, illuminating from one side and observing from the opposite side of the carrier,  
40 or illuminating one side of a carrier and observing an adjacent side of the carrier.

Flow cytometry can measure several different aspects of a carrier  
simultaneously or near-simultaneously. For example, forward scattered light, FSC,  
45 indicates generally carrier size. Side scattered light, SSC, can indicate degree of  
30 granularity. Light scatter is light that is not "ballistic" with respect to the source,  
typically light not within the normal, undisturbed path of the collimated light source  
such as a laser. Both FSC and SSC light are measured at the same wavelength as the

light source so as to distinguish such sources from fluorescent light emissions. Fluorescent emissions are usually distinguished by optically filtering with band pass, or combination of long and short pass, filters. Each fluorescent band detected is given a sequential identifier such as FL1 and FL2. Given the wide variety of information that a flow cytometer can gather from interrogating a carrier, such variety may deliberately be used as coding indicia.

As discussed above, carriers may be easily formed or segregated after formation to relatively narrow size tolerances. Size can be measured by FSC, thus size may serve as a code. Granularity may also be introduced into carriers, for example, by varying the amount of a reflective particles suspended within the carrier, or by degree of cross linking used to make the carrier. Fluorescence may be imparted by adding a blend of fluorophores, or by adding discretely fluorescent particles. Such particles may also contribute to the granularity of the carrier for SSC interrogation.

Two-dimensional arrays, such as when carriers are dispersed on a surface of a slide, may be interrogated by a wide variety of methods. Individual carriers may be interrogated by using a microscopy objective to view each particular carrier, observing the code, target-compound interaction, or both. Alternatively, a CCD camera may observe the entire array simultaneously using pixels to delineate each carrier. Once active carriers are identified, the CCD camera may then focus in with another microscopy objective lens, to "see" the code on a particular carrier. If the array has been pre-determined, perhaps by the manufacturer as discussed above, then the CCD need only identify active carriers and the carrier identity revealed later by correlation of the CCD pixel coordinate with the carrier code of corresponding to that pixel coordinate. This assumes that an alignment means exists between the predetermined array and the CCD pixel array. Two dimensional array illumination may be either epi-illumination or trans-illumination. Autofluorescence may also be used as well as autoillumination such as with bioluminescent systems well known in the art.

Other physical means may be used to interrogate carriers either for target-compound interactions or carrier codes. For example, molecular recognition may be exploited not only to impart an optical character to a carrier, but also physical character as well. Agglutination may be used to separate carriers by introducing other particles or molecular structures that will cause like carriers to combine such that they may be

5 separated from uncombined carriers. Carriers may be selectively absorbed onto surfaces by attaching molecular recognition elements to a surface, and exposing carriers to such surface, thereby causing such carriers to absorb to the surface.

## 10 Examples

### Example 1

#### Taggants as carriers

15 Two complementary 50-mer oligonucleotides, 1S (sense) and 1A (anti-sense), were covalently attached to two different classes of taggants taggants S and taggants A respectively. A CY3 labeled, single-strand DNA, p53s (sense strand) approximately 10 300 nucleotides in length and produced in a PCR reaction and used as the test DNA. This test DNA is complementary to oligonucleotide 1S and therefore expected to 20 hybridize to it to a much greater extent than to strand 1A.

Following the hybridization reaction, a high amount of fluorescence was present 15 on taggants S (containing the 1S, sense strand) and a negligible amount of fluorescence was observed on taggants A (containing the strand 1A, anti-sense strand). The 25 difference in signal between taggants S and A represents specific hybridization.

The experiments prove the following:

- 30 • DNA can be linked to taggant carriers.
- 20 • DNA can react as predicted when linked to taggant carriers. The hybridization reaction is specific and it can be quantified.
- 35 • The carrier class to which the compound is attached can identify the reaction product.

### 25 Example 2

#### Molecular Beacons

40 Molecular beacons can be immobilized on the encoded carriers by following the method described by Fang. To accomplish this the carriers can be treated with avidine (0.1% solution in PBS) followed by a cross-linking treatment with a 1% glutaraldehyde 45 solution for one hour. After washing with a 1M Tris/HCl buffer the coated carriers are 30 mixed with the biotinylated beacons (at a concentration of  $1 \times 10^{-6}$  M) for 10 minutes. Lastly the beacons are washed with PBS and are used in the hybridization reaction.

## Claims

5

10

15

20

25

30

35

40

45

50

55

Claims

We Claim:

1. A chemical-library composition comprising
  - (a) a plurality of coded carriers, each having  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be identified by one of up to  $M^N$  different code combinations, and
  - (b) a different known chemical compound carried on each different-combination carrier.
2. The composition of claim 1, wherein each of said carriers is formed of  $N$  separate layers, each layer having one of  $M$  different color indicia.
3. The composition of claim 2, wherein each carrier is a cylinder of stacked layers, where the cylinder diameters are in the 1 to 200 micron range.
4. The composition of claim 1, wherein each of said carriers has a surface that is partitioned into  $N$  surface regions, and each region contains one of at least two different surface indicia.
5. The composition of claim 1, wherein each of said carriers has a magnetic layer or component that allows for magnetic separation and orientation of said carriers.
6. The composition of claim 1, wherein the different compounds in the composition are oligonucleotides or peptide nucleic acids having a known identifiable characteristic, usually the nucleotide sequence.
7. The composition of claim 1, wherein the different compounds in the composition are oligopeptides having a known identifiable characteristic, usually the amino acid sequence.



5 8. The composition of claim 1, wherein the different compounds in the composition are small chemical compounds having known identifiable characteristics, usually the structural formulae.

10 9. A method of forming a library of determinable chemical compounds, comprising the steps of

- 15 (a) placing into each of a plurality of separate reaction vessels, carriers having a selected one of a plurality of detectable code combination, each defined by one of  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that the carriers in any vessel all have one of up to  $M^N$  different code combinations,
- 20 (b) reacting the carriers in each vessel with reagents effective to form on the carriers, as solid-supports, a selected one of up to  $M^N$  different known library compounds, and
- 25 (c) forming a mixture of carriers from different reaction vessels.

10. The method of claim 9, wherein said reacting includes the steps in a stepwise oligomer synthesis reaction effective to form oligomers with known or random sequences on the solid-support carriers.

20 11. A method of detecting one or more target molecules capable of binding specifically to one or more different, known library compounds, comprising

35 (a) contacting the target molecule(s) with a chemical-library composition composed of

- 40 (i) a plurality of coded carriers, each having  $N > 1$  specified code positions and one of  $M > 1$  detectable indicia at each code position, such that each carrier can be identified by one of up to  $M^N$  different code combinations, and
- 45 (ii) a different known library compound carried on each different-combination carrier, under conditions in which the target molecules can bind specifically to known library compounds.

50 (b) distributing the carriers for individual-carrier decoding, and

- 5 (c) detecting carriers having bound target molecule(s) and  
(d) decoding the carriers having bound target molecules, to identify the library  
compound(s) to which the target molecule(s) are bound.

10 12. The method of claim 11, wherein said distributing includes placing the carriers at  
discrete locations on a substrate surface, and said detecting and decoding is carried  
out by a detector operable to scan the substrate surface.

15 13. The method of claim 11, wherein each carrier is a cylinder formed of N separate  
layers, each layer having one of M different color indicia, and said distributing  
includes flowing said cylinders through a capillary tube, past a detector.

20 14. The method of claim 11, wherein each carrier is a cylinder formed of N separate  
layers, each layer having one of M different color indicia, and said distributing  
includes aligning said carriers in a capillary tube, and moving said tube relative to a  
detector.

25 15. A method of multiplexing the detection and quantification of analytes comprising  
the steps of:

- 30 (a) distributing on a surface a plurality of coded carriers having different  
compounds attached to different carriers,  
(b) scanning the surface for carriers having a detectable reporter,  
35 (c) recording the positions of the carriers having a detectable reporter,  
(d) determining the code for each carrier at each recorded position.

25 16. An array device comprising,

- 40 (a) a surface, and  
(b) a plurality of coded carriers having different compounds attached to  
different carriers, wherein the carriers are randomly distributed upon  
45 the surface.

50 17. The array device of claim 16 where the surface is a glass slide.

5

18. A kit comprising

a plurality of separated classes of compoundless coded carriers,

10

wherein each class contains a plurality of compoundless coded carriers,

5

(a) each carrier within that class having the same code, and each

different class having compoundless coded carriers having a

15

different code, and

(b) each compoundless coded carrier is capable of having a

10

compound attached thereto.

20

19. The composition of claim 1 wherein each of said carriers is formed as thin transverse sections of an assembly comprising N pre-existing filaments of M different colors and bundled together such that when sectioned they produce carriers with M color indicia at each of N positions.

25

15

20. The composition of claim 1, wherein the carrier indicia is a nanocrystal.

30

21. A method of detecting two or more target molecules in an analyte capable of binding specifically to two or more known different compounds on different carriers from a carrier library contained in an sample, comprising the steps of

20

(a) partitioning the carrier library into a plurality of sublibraries and splitting the analyte into a plurality of subanalytes,

35

(b) contacting each subanalyte with a sublibrary in a condition in where each target molecule can bind specifically to corresponding sublibrary carriers and where conditions are independent for each sublibraries,

25

(c) pooling together carriers from all sublibraries,

40

(d) distributing the carriers on a surface,

(e) detecting carriers having bound target molecule(s) and

45

(f) decoding the carriers having bound target molecules, to identify each compound that bound target molecules are bound.

30

50

55

5

22. A method of multiplexing the detection and quantification of analytes comprising the steps of:

10

5

- (a) attaching probes specific for a set of analytes to a corresponding set of specifically designated distinguishable carriers;
- (b) reacting said designated distinguishable carriers with said analytes;
- (c) measuring signal in association with each said designated distinguishable carrier.

15

10

23. The method of claim 22 where the carriers are deposited on a surface.

20

24. The method of claim 23 where the analytes are determined by a combination of features inherent to the carriers and the position of the carriers on the surface.

25

15

25. The composition of claim 2 where the layers are fused glass fibers.

30

35

40

45

50

55

1/13

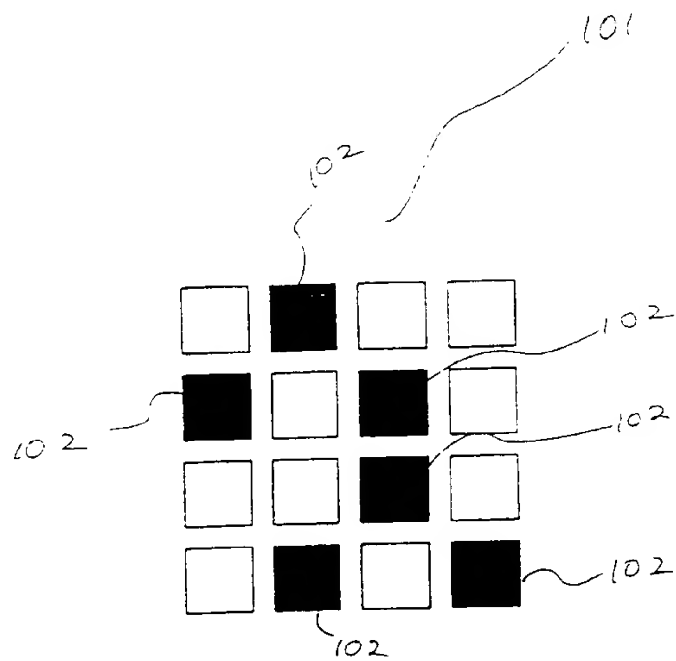


Figure 1

2/13

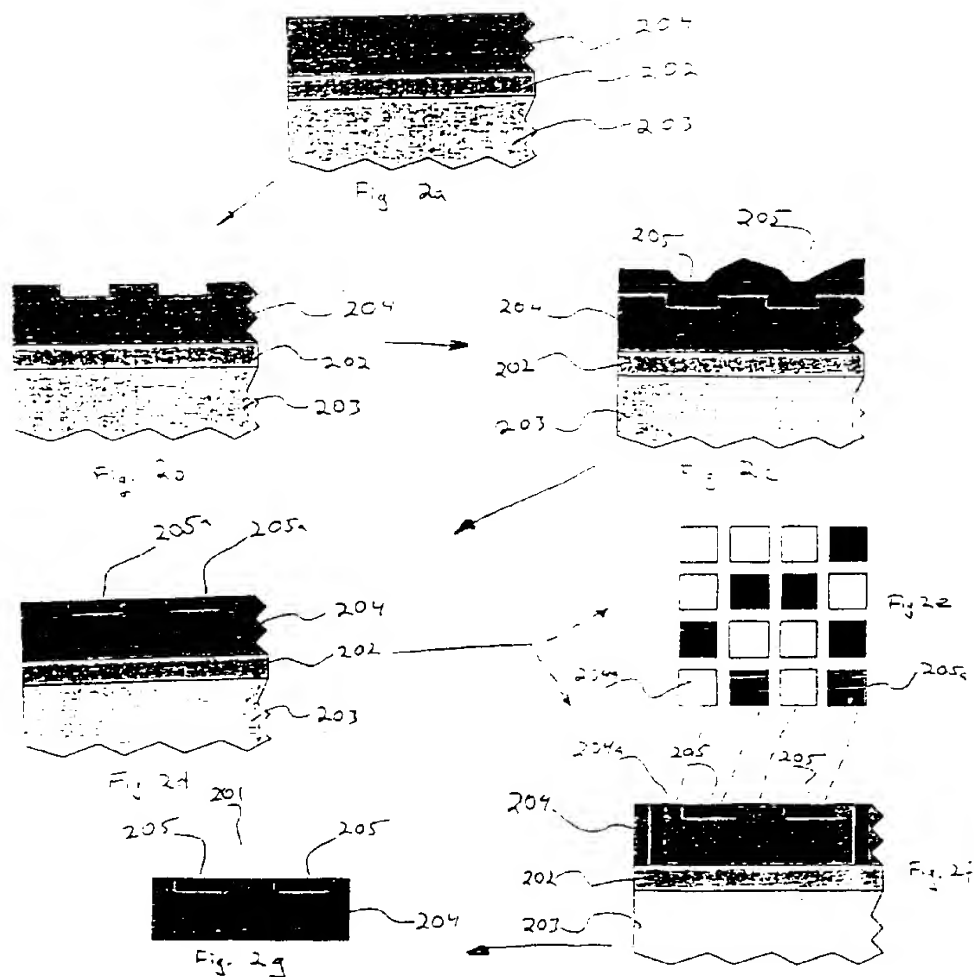


Figure 2

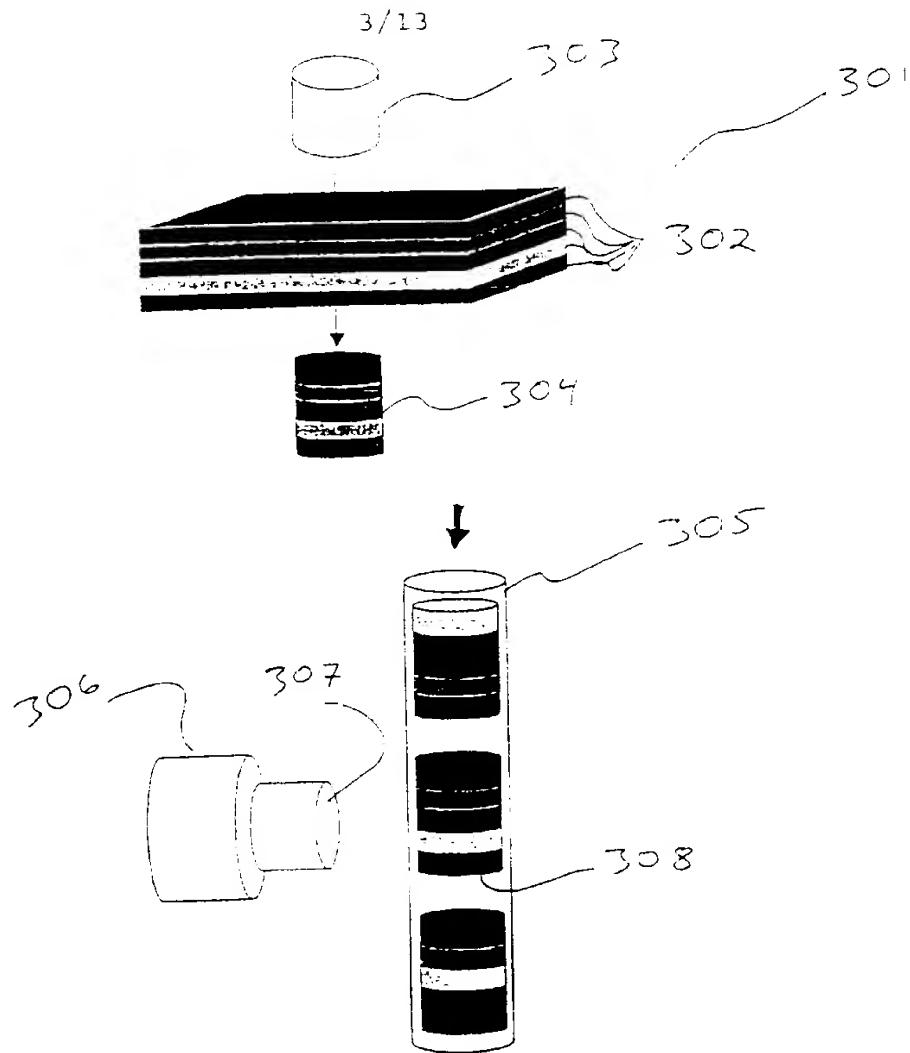


Fig 3

4/13

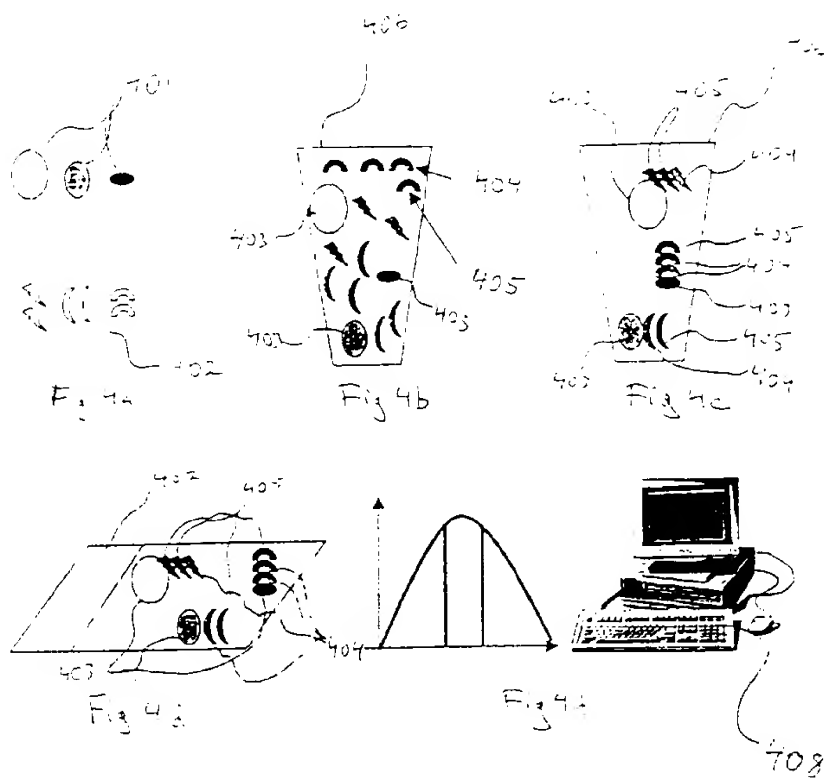


Fig 4



5/13

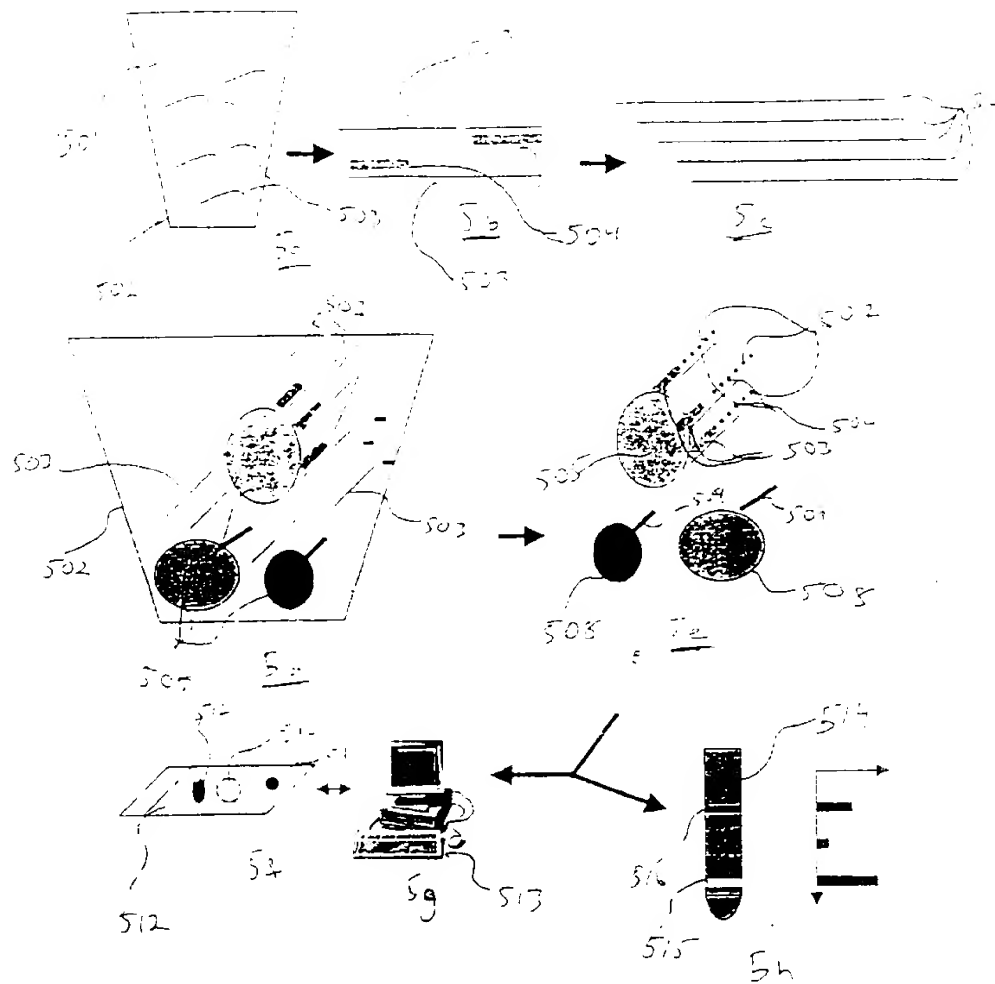
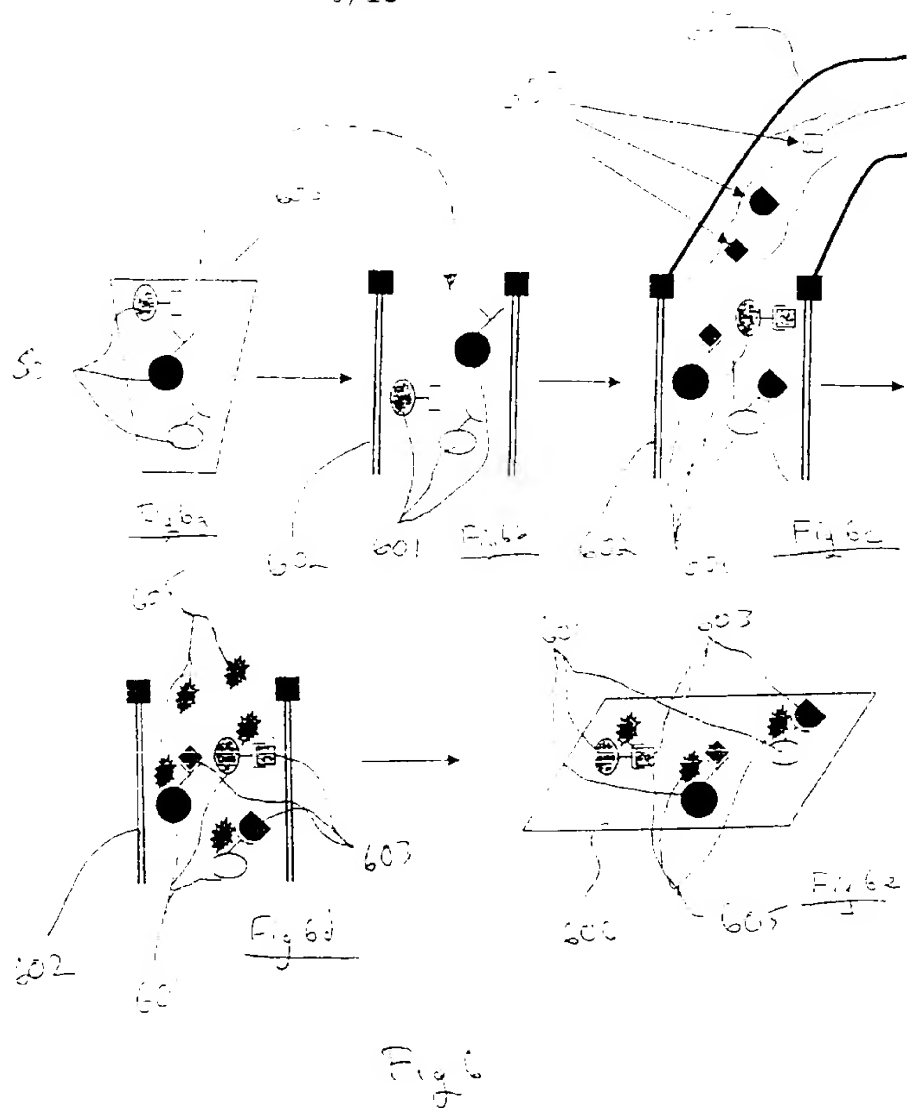
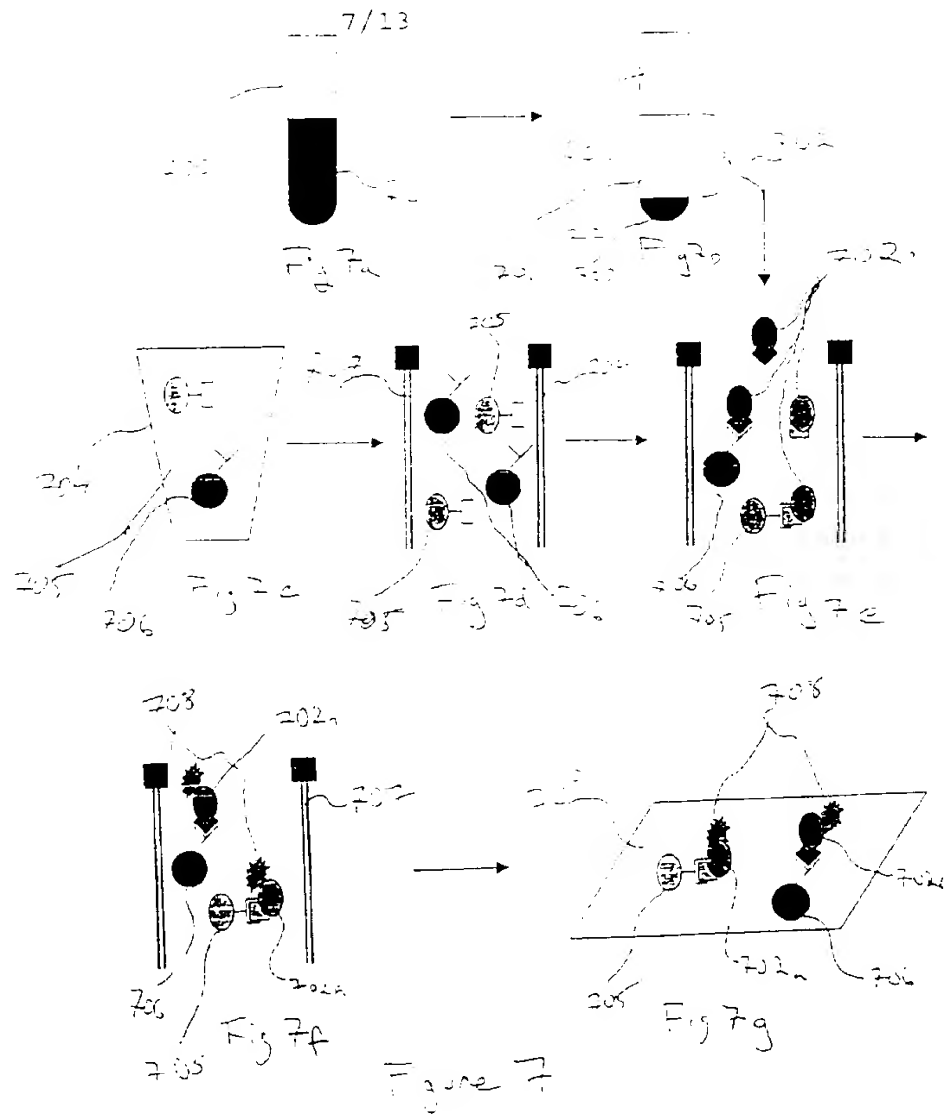


Fig 5

5/13





8/13

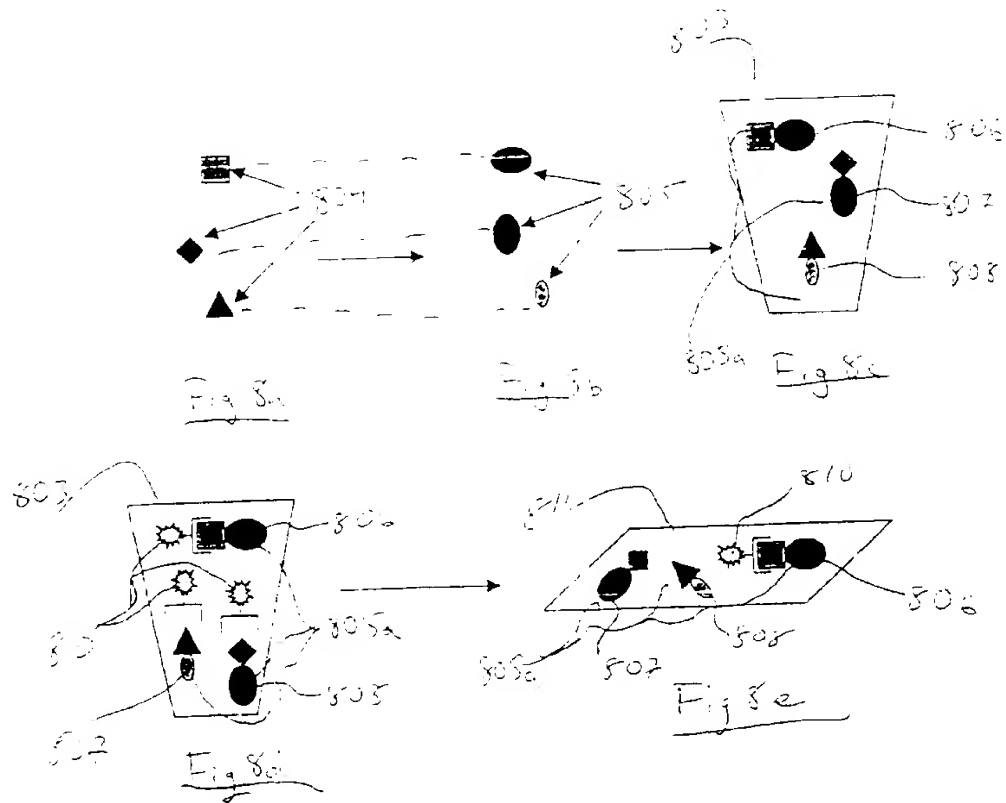


Figure 8

9/13

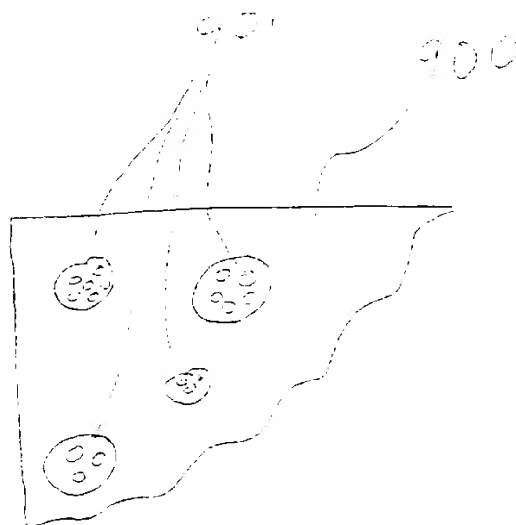


Figure 9

10/13

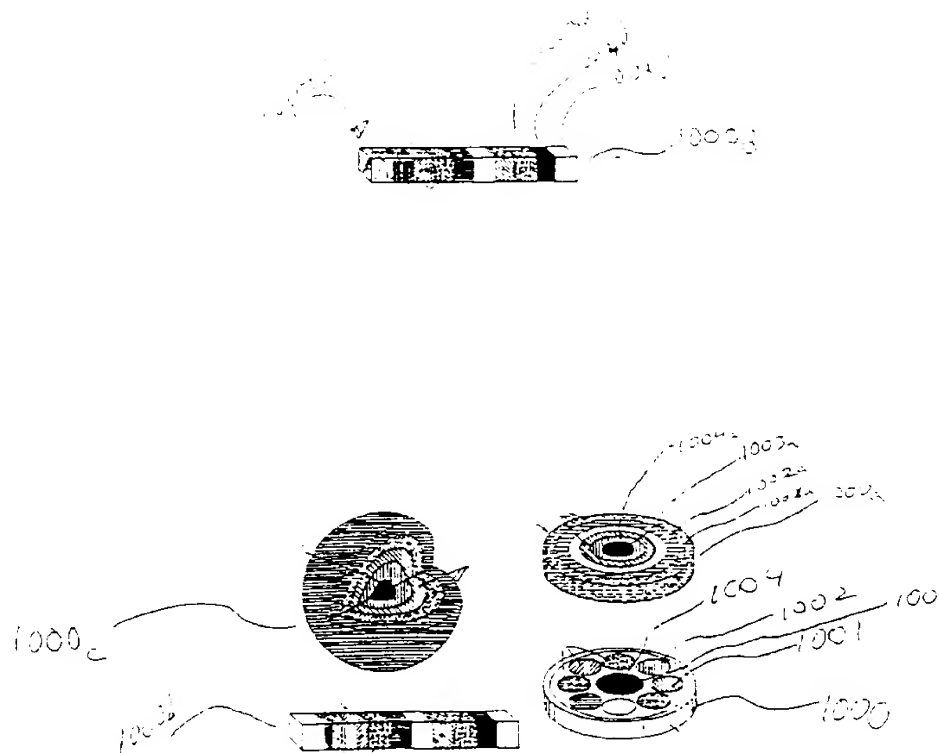


Figure 10

11/13

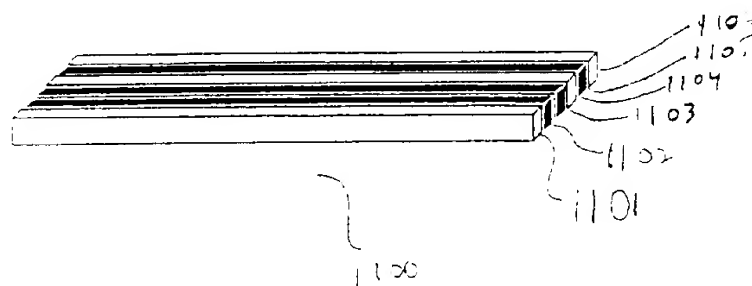
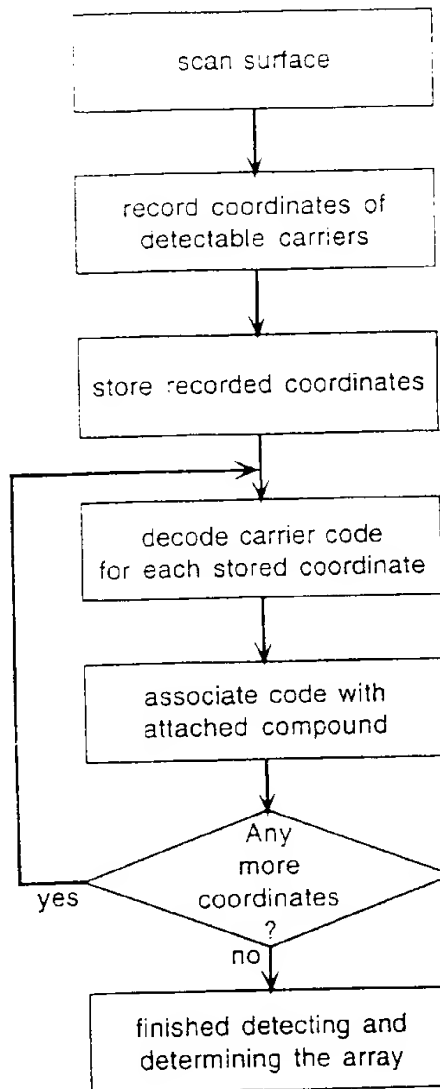


Fig 11

12/13

**Fig. 12**



13/13

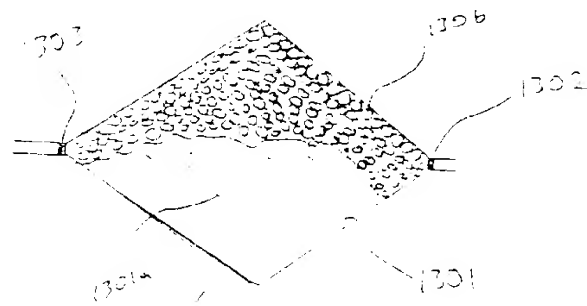
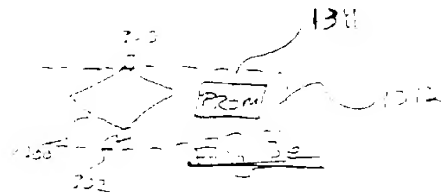


Fig. 13

1300

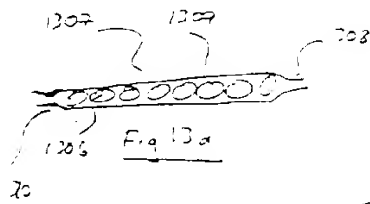


Fig. 13a

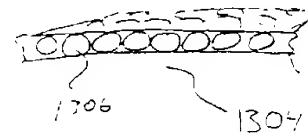


Fig. 13b

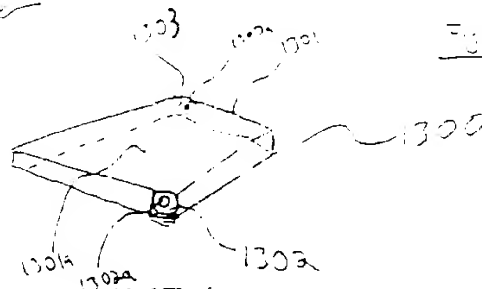


Fig. 13

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/10181

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(M) : C12Q 1/00; G01N 33/566, 33/543, 33/551, 33/553, 33/544

US CL : 435/4, 6; 436/501, 518, 523, 524, 526, 528

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/4, 6; 436/501, 518, 523, 524, 526, 528

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,708,153 A (DOWER et al) 13 January 1998, see entire document, especially col. 11, lines 49-55 and col 13, lines 22-35.	1-12, 1-18 21-24
Y	US 5,817,751 A (SZARDENINGS et al) 06 October 1998, see entire document, especially col. 23, lines 42-45.	1-12, 15-18, 21-24
Y	US 5,840,485 A (LEBL et al) 24 November 1998, see entire document, especially col. 33 lines 4-15.	1-12, 15-18, 21-24
X	FRANK, R. Strategies and techniques in simultaneous solid phase synthesis based on the segmentation of membrane type supports. Bioorg. Med. Chem Lett. 1993, Vol. 3, No. 3, pages 425-430, especially page 428 lines 11-15.	1, 4, 6-11, 16-18, 21-24.

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	* I* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A* document defining the general state of the art which is not considered to be of particular relevance	* X* document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* D* earlier document published on or after the international filing date	* Y* document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combinations being obvious to a person skilled in the art
* E* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* A* document member of the same patent family
* F* document referring to an oral disclosure, use, exhibition or other means	
* G* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

28 JULY 2000

Date of mailing of the international search report

18 AUG 2000

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JOSEPH W. RICCIANO

Telephone No. (703) 308-1235

Form PCT/ISA/210 (second sheet) (July 1998)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/10181

## Box I. Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos. because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos. because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos. because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II. Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos. .
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos. .

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US00/10181

## B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used)

WEST, Derwent, Dialog

search terms: Combinatorial, bead, support, carrier, tag, encode, label, indicia, dye, nanocrystal, location, region, position, portion, array, layer, stack, cylinder

## POX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

Thus ISA found multiple inventions as follows.

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid:

- Group I, claim(s) 1-8, 18, 19, 20, 25, drawn to carrier compositions and the arrays and kit comprising them.
- Group II, claim(s) 9-10, drawn to methods of forming a library of compounds.
- Group III, claim(s) 11-14, 15, 21, 22-24 drawn to methods of detecting target molecules.
- Group IV, claim(s) 16-17 drawn to a device.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack Unity of Invention because they are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for more than one species to be searched, the appropriate additional search fees must be paid. The species are as follows:

The claims are deemed to correspond to the species listed above in the following manner:

If applicant elects the invention of group I applicant is required to elect a species by setting forth a carrier, a compound type and a form of indicia from those set forth below

### A) Carriers

- fused fibers, claim 25
- stacked cylinders, claim 3
- transverse section of filaments, claim 19

### B) Indicia

- nanocrystals, claim 20
- color indicia, claim 2

### C. Compounds

- peptides, claim 7
- nucleotides, claim 6
- peptide nucleic acids, claim 6
- small chemical compounds, claim 8

A proper election of species requires an election from subgenres A-C

The following claims are generic: 1, 4, 5

The inventions listed as Groups I-III do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The use of supports with indicia for chemical library preparation and screening are known in the art as evidenced by the following references:

- Frank Bioorg. & Med. Chem. Lett. 3(3): 425-430 (1993).
- US 5,770,455 A. to Cargill et al.
- US 5,741,462 A. to Nova et al.

The species listed above do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: The species lack unity of invention as they are drawn to different carriers with different indicia in combination with different member compounds. Thus, each species of composition is of different structure.